ICMR Summer School on Materials in 3D: Modeling and Imaging at Multiple Length Scales University of California, Santa Barbara, CA, USA Monday, August 19, 2013 ESB 1001 2:00pm – 3:30pm

Electron tomography observation of microstructure in crystalline materials

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Location of Kyushu University



Hakozaki Campus O Hospital Campus Ohikushi Campus Ohashi Campus **G** University Farm 6 Advanced Medical Center at Beppu Institute of Seismology and Volcanology O Amakusa Marine Biological Laboratory O Kuju Agricultural Research Center Shiiba Research Forest Ibusuki Experimental Station Ashoro Research Forest
 (B Kyushu University Nishijin Plaza 10 Ito Campus (New Campus) **B**Kyushu University International House Tokyo Office, Kyushu University Faculty of Design Tokyo Lounge

Osaka Office







TEMs and related apparatus of HVEM Laboratory in **Kyushu University**

booking

► こちらをクリック

Chikushi Campus of Kyushu University

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23 Multipurpose Sports Field

Main EMs in Chikushi Campus of Kyushu University



Establishment of International Network of Ultramicroscopy for Real Dimension



Analysis and Functional Design of Advanced Materials

"Strategic Young Researcher Overseas Visits Program for Accelerating Brain Circulation" Sponsored by Japan Society for the Promotion of Science (JSPS), with Faculty of Engineering Science, Kyushu University, Japan. 2012.10 - 2015.9



Propose contents of today's talk...

- Most of you do not know SH at all.
- There have been many textbooks of electron tomography.
- I would like to talk about my research history for recent 10 years, because you may understand development of electron tomography methods for crystalline materials such as metals and alloys.
- If you would be interested in my talk, I could send you my papers described in better English than my spoken English.

Curriculm vitae of SH

- Born in Osaka on 15 July 1969, 44 years old, male
- Married, two daughters
- 1992 Bachelor of Engineering (Metallurgy), Kyushu University
- 1994 Master of Engineering, Kyushu University
- 1994 Research associate (assistant professor), Kyushu University
- 2001 Doctor of Engineering, Kyushu University (Short-range order and its transformation to long-range order in Ni-Mo alloys)
- 2003 Started electron tomography research
- 2005, 8 Jacquet-Lucas Award of International Metallographic Contest
- 2005, 10-11 Visiting researcher at University of Cambridge (P.A. Midgley)
- 2007 Associate professor (Structural Materials Lab.), Kyushu University
- 2013 Lecturer of ICMR Summer School on Materials in 3D

History of electron tomography (-2001)

Ziese and de Jong: Applied Catalysis A (2004)

1960's: first applications of 1990's: routine application 2000: first application 2001: first appl temperaphy related technique of TEM temperaphy in a fTEM temperaphy of electron tem	application graphy in nssen/de 5,7].
in electron microscopy in	lications
biological sciences (1982	nography
Nobel Prize for Klug)	EM and
1999: development and application of 3D	(EFTEM,
HAADF-STEM system for nanomaterials by	by
RIKEN, JAEA, Nagoya Univ., Kogakuin Univ.,	and [14]
and HITACHI	5]

Timeline-----

1917: formulation of mathematical base for tomographic techniques by Radon (Radon Transform)

computerized tomography (1979 Noble Prize for Cormack and Haunsfield)

1960's: development of X-ray 1990's: development of automated TEM tomography by Agard [18] and Baumeister [19] 1990: first commercial systems enable data acquisition in ~ 4 h

2001: development of pre-calibration electron tomography by Koster/Ziese [20,21] 2001: commercial systems making use of pre-calibration enable improved accuracy and data acquisition in \sim 30–60 min

Computed tomography (CT) Object (2D) Projection (1D) \rightarrow Back projection (2D) \rightarrow

Courtesy of Mr. Furukawa in System In Frontier

Projection requirement

For a correct tomographic 3D reconstruction, the image intensity should be monotonic functions of physical properties of the specimen (density, thickness, degree of order, etc.).



Midgley & Weyland, Ultramicroscopy, 96 (2003), 413.

Mass-thickness contrast and diffraction contrast of TEM image

Mass-thickness (absorption) contrast Heavy, thick → dark Light, thin → bright



Diffraction contrast On Bragg condition → dark Off Bragg condition → bright



Bright-field TEM image of metal carbides on amorphous carbon film

Bright-field TEM image of polycrystalline aluminum (Direct mag.: x15,000)

HVEM Laboratory, Kyushu University

Diffraction contrast tilt-series

Nano-grained Cu by high-pressure torsion process

Even if the Bragg condition is satisfied (central grain), the projection requirement is violated.



Scanning transmission electron microscopy (STEM)





HAADF STEM tomography

ZrO₂ particles in Pt-Rh alloy

[nm]

800





Contrast reversed Strong mass-thickness contrast Weak diffraction contrast

Mitsuhara and Tomita, in preparation

HAADF-STEM tomography (Cambridge)



Fig. 7. A typical STEM HAADF image of a heterogeneous catalyst composed of Pd₆Ru₆ nanoparticles (about 1 nm diameter) and an MCM-41 mesoporous silica support with mesopores of approximately 3 nm diameter.

Midgley & Weyland, Ultramicroscopy, 96 (2003), 413.

HAADF-STEM tomography (National project in Japan from 1997)

Three-dimensional STEM for observing nanostructures M. Koguchi, H. Kakibayashi, R. Tsuneta, M. Yamaoka, T. Niino, N. Tanaka, K. Kase and M. Iwaki Journal of Electron Microscopy, 50, 235-241 (2001) See PDF (copyright protected)

Koguchi et al., J. Electron Microscopy, 50 (2001), 235.

ET for metallic materials

Measuring shear deformation of precipitates in Al-Ag alloy after severe plastic deformation by ECAP process Inoke *et al.* Acta Mater. (2006)

STEM-HAADF Zcontrast imaging

Resolution 5~10 nm





Imaging a variant of tetragonal Ni₄Mo (*D*1_a (*I*4/*m*)) in Ni-Mo alloy using dark-field TEM







Solid solution -> 800 °C-0.2 h

800 °C-2 h





800 °C-24 h



To be submitted

Superlattice reflection imaging (calculation)

 $D1_a$ (Tetragonal, I4/m) type Ni₄Mo superlattice structure Bragg condition under systematic excitation, 5% absorption of fast electrons

g = 200 (fundamental lattice reflection) g = 4/5, 2/5, 0 (D1_a superlattice reflection)



Steps of diffraction-contrast tilt-series acquisition



Set a specimen. The diffraction vector should be parallel to the specimen-tilt axis. Here, a superlattice reflection (•) is always excited during a tilt-series acquisition.

 \rightarrow A rotation stage is convenient for the specimen setting.







Put the specimen here

For the single-tilt (& rotation) tomography holder, a perfect setting of the diffraction condition is difficult due to the lack of a double-tilt function.



Single-tilt holder

Kimura, Hata, Matsumura, Horiuchi: J. Electron Microscopy (2005)

DF TEM tilt series with unsatisfactory diffraction alignment

 Ni_4Mo superlattice domains (tetragonal, I4/m) in Ni-18 at% Mo (fcc, $Fm\overline{3}m$) alloy Projection requirement violated due to deviation from Bragg condition



Realignment of the diffraction condition by tilting the incident electron beam

To keep the exact Bragg condition



Kimura, Hata, Matsumura, Horiuchi: J. Electron Microscopy (2005)

Violation of the projection requirement (Ni₄Mo precipitates)

Thickness contours under a zone-axis illumination condition



Near [1-23]_{fcc}

Kimura, Hata, Horiuchi, Matsumura: JEM (2005)

DF TEM tilt series with fine diffraction alignment

Image intensity may be nearly a monotonic function of crystal thickness.



Diffraction alignment important for reliable tomographic reconstructions

Ni₄Mo superlattice domains (tetragonal, I4/m) in Ni-Mo (fcc, Fm3m) alloy

alignment

With unsatisfactory diffraction With fine diffraction alignment





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AS AWARE ____ 46

Tomographic Dark-Field TEM Observation of Ordered Precipitates in a Ni–Mo Alloy

Kosuke Kimura, Takao Horiuchi, Satoshi Hata, Syo Matsumura Kyushu University, Japan

solution-treated Ni-Mo allov (18 at.% Mo) was annealed at 1073K for 24 hours to obtain a two-phase nanostructure composed of the nickel matrix with a face-centered cubic (fcc) structure, and Ni₄Mo precipitates with a D1a body-centered tetragonal superstructure (Fig. 1(a)). When the Ni4Mo precipitates are formed in the nickel matrix, six types of orientation relationships exist between the precipitates and the matrix (Fig.1(b)).

• The etchant was an electrolysis polishing solution with composition CH_3OH : $\hat{H}_2SO_4 = 3:1$. The magnification of the dark-field image was

26000X.

Visualization of nanostructures

Figures 2, 3, and 4 show the first successful visualization of three-dimensional (3D) crystalline nanostructures. Made by an electron tomography technique, these images show the orientation variants of ordered domains in a nickel-molybdenum alloy.

Up to now, the electron tomography of such crystalline nanostructures has been considered impossible because of the diffraction effects of electrons in crystals. We succeeded in obtaining

The Jacquet-Lucas Award is presented to the best entry in the annual International Metallographic Contest, by the International Metallographic Society, an Affiliate Society of ASM The award consists of \$3000. Deadline for entries in the 2006 competition is July 17. For more information, please visit www. internationalmetallographicsociety.

a tilt series of dark-field transmission electron microscopy (DF-TEM) images by accurately controlling the diffraction condition and by reconstructing the 3D views of the orientation variant. This work would be informative to scientists and engineers working in various fields, who are interested in 3D nanostructures in crystalline materials and devices.

Detailed procedures

Figure 1: A crystal structure of D1a-ordered Ni₄Mo precipitates.

• (a) [001] projection views of the fcc-based D1a superstructure. Large and small circles indicate different (002) layers in the fundamental fcc lattice. The D1a unit cells of variants 1 and 2 and the fcc unit cells are marked with red, blue, and black open squares, respectively.

• (b) Six orientation variants of the D1a structure.

Figure 2: Part of a tilt series of electron diffraction patterns in a Ni-18 at.% Mo alloy annealed at 1073K for 24 hours, and a photograph of the single-tilt specimen holder. The [420]fcc systematic row is set parallel to the tilt axis of the holder. As a result, the D1a superlattice reflection at hkl =4/5, 2/5, 0 is always excited in the tilt series, as indicated by the red arrows.

Figure 3: Part of a tilt series of DF-TEM images of the Ni₄Mo precipitates with one D1a variant. After realignment of the diffraction condition using a beam tilt function, the Ni4Mo precipitates are clearly imaged at every step.

Figure 4 (a): Tomographic reconstructions from the tilt series in Fig. 3. Bright areas correspond to the Ni₄Mo precipitates with one D1a variant.

• (b): Enlarged Ni₄Mo precipitates in the selected area indicated with the red square viewed from different directions (a).

• (c): Schematic illustration of the Ni₄Mo precipitates with one D1a variant. Yellow objects and blue broken lines show the Ni4Mo precipitates and the specimen edge, respectively.

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ADVANCED MATERIALS & PROCESSES/FEBRUARY 2006

ADVANCED MATERIALS & PROCESSES/FEBRUARY 2006



(b)

Variant 2

Figure 2

[010]_{fcc}

Figure 1

0

▶ [100]_{fec}

Variant 1







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Weak-beam DF TEM tomography imaging of dislocations in GaN film

Use of image processing to reduce dynamical diffraction contrast Unsatisfactory diffraction alignment during tilt-series acquisition



Collaboration on electron tomography for crystals with EM Group in University of Cambridge

17 October – 18 November 2005 P. A. Midgley, J. S. Barnard, J. R. Tong, J. Sharp, S. Hata



Phase separation of γ' in Ni-Al-Ti alloy

3D morphology and arrangements of fine γ in γ' visualized by DFTEM tomography Hata *et al*: *Adv. Mater.* (2008)
• Very high contrast using diffraction in crystals
△ Difficulty in setting a constant diffraction condition (sample dependent, single-tilt ET holder)
△ Influence of strain contrast at γ/γ' interfaces (serious for imaging nanoparticles)



zes of Ni-8.5 at% Al-5.4 at% Ti alloys aged at 1213 K for a) 45 minutes, and subsequently aged at 11



Figure 3. Surface-rendered views of the γ'/γ interfaces reconstructed from the DFTEM tilt series in Figure 2. The γ precipitates are rectangular plates in shape and parallel to the {100} planes.









Acquire diffraction-contrast tilt-series



High-angle (> 60°) single-tilt Specimen holder (Fischione[™])



Two-beam condition



Direct Diffracted beam beam

Incident beam

How to keep the diffraction condition during specimen tilt?

Well-controlled sample preparation
(almost by chance)
Incident beam-tilt (causes image
distortion due to aberration)
High-angle double-tilt holder (not
commercially available)

Example diffraction tilt-series under a two-beam condition





Movement of high-angle triple-axis holder



http://www.melbuild.com/







Crack tip dislocations revealed by electron tomography in silicon single crystal

Vickers indent and heated at 873 K

Tanaka, Higashida, Kaneko, Hata, Mitsuhara, *Scripta Mater*. (2008)







g=220

Tilt axis

1 µm

Test a HATA holder

9. 2007, University of Cambridge

WBDF-TEM dislocation tomography for Si crystal with crack

J. S. Barnard, J. Sharp, P. A. Midgley,

S. Miyazaki (Mel-Build & FEI, Japan), H. Miyazaki (Mel-Build), S. Hata





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High-angle triple-axis specimen holder for three-dimensional diffraction contrast imaging in transmission electron microscopy

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ABSTRACT

Electron tomography requires a wide angular range of specimen-tilt for a reliable three-dimensional (3D) reconstruction. Although specimen holders are commercially available for tomography, they have several limitations, including tilting capability in only one or two axes at most, e.g. tilt–rotate. For amorphous specimens, the image contrast depends on mass and thickness only and the single-tilt holder is adequate for most tomographic image acquisitions. On the other hand, for crystalline materials where image contrast is strongly dependent on diffraction conditions, current commercially available tomography holders are inadequate, because they lack tilt capability in all three orthogonal axes needed to maintain a constant diffraction condition over the whole tilt range. We have developed a high-angle triple-axis (HATA) tomography specimen holder capable of high-angle tilting for the primary horizontal axis with tilting capability in the other (orthogonal) horizontal and vertical axes. This allows the user to trim the

Two-beam diffraction tilt series using HATA holder STEM (convergent illumination) mode

First, find the specimen-tilt (X) axis on the screen/camera of your TEM!

Austenitic (fcc) steel

Diffraction condition *K* = *g*(200)

Specimen-tilt angle -70° ~ +70°

Misorientation angle between specimen-tilt axis and **g**(200) < 1°



STEM tilt-series of dislocations in austenitic steel

After 3% compressive deformation at room temperature

STEM-BF (Contrast reversal) Specimen-tilt angle $-70^{\circ} \sim +70^{\circ}$ HATA holder Tilt axis // $\overline{g}(hkl) = 200_{fcc}$

500 nm

3D reconstruction of dislocations

SUS316 austenitic steel after 3% compressive deformation at R. T.



Hata et al. Ultramicroscopy (2011)



Dual-axis STEM dislocation tomography

SUS316 austenitic steel, 3% compression at R.T., BF-STEM (contrast reversal)



Dislocation density: $\rho = 4.0 \times 10^{13} \,\mathrm{m}^{-2}$

Number of dislocations in 2D tomograms

Austenitic steel, 3% strained at R. T., (001) foil 20-30% reduction of dislocation density near the specimen surface



Dislocation density evaluated from 2D image and 3D volume

Austenitic steel, 10% compressive deformation at R. T.



2D A

Lt

 L_1 : total length of vertical lines n_1 : intersection number of vertical lines and L₂: total length of horizontal lines

*n*₂: intersection number of horizontal lines and dislocations

Mitsuhara, to be submitted

Collaboration using HATA holder

2009~, EMAT, University of Antwerp
 STEM dislocation tomography for
 nano-grained metals and FIB induced defects
 H. Idrissi, <u>M. Mitsuhara</u>, S. Hata, <u>D. Schryvers</u>





100 nm

3D reconstruction of a single Al nanograin viewed along the thickness of the film, revealing multiple dislocation loops especially near to the top surface (indications of top & bottom surfaces relate to the FIB sample surfaces). Three orthoslices selected at depth positions A, B and C in the grain shown in the left figure. Only the top slice C shows long dislocation lines pinned near the surface of the film by point defect clusters created during FIB milling.

Collaboration using HATA holder

5. 2010~, CEN, RISØ, Technical University of Denmark Dislocation tomography for grain refinement process in pure Al

<u>A. Ramar</u>, S. Hata, <u>T. Kasama</u>, R. E. D.-Borkowski,

X. Huang, G. Winther, N. Hansen

Ramar et al. RISØ Symp. Proc. (2010)









http://www.bnm.mtl.kyoto-u.ac.jp/index_e.html

Prof.



"Bulk Nanostructured Metals (BNM)" are the bulky polycrystalline materials composed of matrix grains or phases having sizes smaller than 1 µm; hence BNMs are considered as the materials "full of grain boundaries".

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Tomographic 3D imaging of GB/dislocation interaction

Dislocations at Σ3 twin boundary of austenitic steel

Tilt-series acquisition with excitation of two (parallel) diffracted beams: g = 1-1-1 (for grain with incoming dislocations) & -11-1 (for other grain)

Available for limited types of GBs, Limited specimen-tilt angles of double-tilt holder Drawing dislocations based on 3D TEM data and theoretical background for dislocation



Fig. 1. Bright-field image of a dislocation system, with arrows indicating the direction of motion of the dislocations and labels identifying the slip systems.



Fig. 3. Reconstructed model of dislocation system at different tilts. Thompson tetrahedra placed in each grain allows for easy identification of the slip planes on which the dislocations reside.

J. P. Kacher, G. S. Liu and I. M. Robertson: Scripta Mater. 64 (2011) 677-680.



Morita et al.: J. Jpn. Inst. Metals. (1997)

Table 1 Impurity contents of C, O and N in not-purified and purified molybdenum.

Sample	Impurity contents(ppm)		
	С	0	N
Starting material	<10	<10	<10
Not-purified specimen	10.8	13.8	3.4
Purified specimen	<5.0	2.0	2.2

2 mm x 2 mm x 3 mm in sample size Compressive deformation with 1% plastic strain

TEM specimens: foil parallel to (001), electropolishing

To be submitted



Four 3D reconstructions



← [nm] 400





Slip plane distribution in Mo[001](210)Σ5 bicrystal



GB influences active slip-plane distribution. To be submitted

Back projection algorithm





Fig. 1. (a) A modified molybdenum specimen grid with the fixing position of the rod-shaped specimen indicated by an arrow. (b) Schematic illustrations of procedures to form the rod-shaped specimen. After a tungsten deposition for the purpose of protection against the gallium ion irradiation, the specimen was first fabricated in a plate form, a prism form next, and finally a rod form by FIB.

Fig. 2. A modified JEM2200FS specimen holder allowing $\pm 90^{\circ}$ tilt. The original profile is marked by the dashed line. (a)

> Fig. 3. (a) An electron micrograph of a rod-shaped polymer nanocomposite containing zirconia fillers. (b) An enlarged electron micrograph of the thinnest region of the rod-shaped specimen.

3D reconstruction of rod-shape specimen (FBP)

Zirconia-Polymer composite, Kawase et al. Ultramicroscopy (2007)









Fig. 8. (a) A series of reconstructed slices through a single spherical zirconia grain with a diameter of ca. 10 nm, reconstructed for various α values from 40° to 90°. (b) Simulated X–Z images reconstructed from a spherical model according to the same tilt angular range shown in (a).

Fig. 11. (a) Plot of volume fractions (zirconia/nanocomposite), ϕ , experimentally determined from the 3D reconstruction series from $\alpha = 40^{\circ}$ to $\alpha = 90^{\circ}$. Dashed line represents the known composition of the zirconia grain. (b) Plot of surface(zirconia)/volume ratio, Σ , experimentally determined from the 3D-reconstruction series.



Fig. 12. A series of X–Z cross-sections of the same region in the rodshaped specimen reconstructed with various tilt increments $(1^{\circ}, 2^{\circ}, 5^{\circ} \text{ and } 10^{\circ})$ for typical α values from 40° to 90°.

Influence of electron absorption for a foil specimen



Different shapes of 3D reconstructed Au particle (10 nm) supported on polymer film (200 nm) or prism-shaped polymer section

→ Reduce transmittance of
 electron beam (< 10 %)
 → Less contribution of high tiltangle series

→ Missing information along z Kaneko *et al. J. Electron Microsc.* (2005)





of tilt-series

images

reconstructions thresholded using Otsu's method.

Saghi et al.: NANO Lett. (2011) Goris et al.: Ultramicroscopy (2012) Monsegue et al.: Microsc. & Microanal. (2012)

STEM ADF tilt series of precipitate & dislocation in 9Cr steel



Hata et al. Under review

Energy-filtered HVEM

JEM-1300NEF

(Installation completed in 2010, Kyushu University, Japan)

Omega type energy filter, Tomography, STEM

Penetration power of electrons as a function of accelerating voltage (assuming unity for 200 kV)





200 kV \rightarrow 1000 kV The penetration power becomes 1.8 times.

High-angle double-tilt & rotation holder for HVEM: application to thick (~3 μm) Si crystal



Tanaka and Higashida

Electron tomography at 2.4-ångström resolution

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Figure 3 | 3D structure of the reconstructed gold nanoparticle. a, b, 3D volume renderings of the nanoparticle and their Fourier transforms (insets) at the two-fold (a) and three-fold (b) symmetry orientations. c, d, Iso-surface renderings of the nanoparticle at the two-fold (c) and three-fold (d) symmetry orientations. Insets show a model icosahedron at the same orientations.



Figure 4 | Identification of four major grains inside the gold nanoparticle in three dimensions. Grains 1, 2 and grains 3, 4 are related by mirror-reflection across the horizontal interfaces marked by dotted lines. The angle enclosed by close-packed planes across these interfaces was measured to be $69.9^{\circ} \pm 0.8^{\circ}$ between grains 1 and 2, and $71.3^{\circ} \pm 0.8^{\circ}$ between grains 3 and 4, both of which are consistent with the angle for a face-centred cubic twin boundary (70.53°).

4D Electron Tomography

Oh-Hoon Kwon and Ahmed H. Zewail*



Fig. 4. 4D tomographic visualization of motion. (A) Representative 3D volume snapshots of the nanotubes at relatively early times. Each 3D rendered structure at different time delay (beige) is shown at two view angles. A reference volume model taken at t =0 ns (black) is merged in each panel to highlight the resolved nanometer displacements. Arrows in each panel indicate the direction of motion. (B) The time-dependent structures visualized at later times and with various colors to indicate different temporal evolution. The wiggling motion of the whole bracelet is highlighted with arrows. From these tomograms, movies were constructed in the two different time domains (movies S2 and S3). Note that the time scale given here is chosen to display clearly the objects' motions, as opposed to the early ultrashort time domain (see text).

Elastic deformation of CNT

Combination of ET and in-situ with pulse-beam illumination

Acknowledgments

M. Mitsuhara, M. Shimizu, R. Akiyoshi, K. Ikeda, K. Kimura, K. Kaneko, M. Tanaka, K. Higashida, S. Matsumura, H. Nakashima (Kyushu Univ., Japan) S. Miyazaki, H. Miyazaki (Mel-Build, Japan) K. Inoke (FEI, Japan) J. S. Barnard, P. A. Midgley (Univ. of Cambridge, UK) J. H. Sharp (Univ. of Scheffield, UK) H. Idrissi, D. Schryvers (Univ. of Antwerp, Belgium) T. Kasama, A. Ramar, X. Huang, R. E. D. Borkowski, G. Winther, N. Hansen (Technical Univ. of Denmark, Denmark) T. Pollock (UCSB, USA)

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