Impurity states and marginal stability of unconventional superconductors

A.V.Balatsky(LANL)

- local =atomic or coherence length scale
- impurity or defect
- •Impurity states inconventional supercondcutors
- Impurity states in unconventional superconductors
- Impurity states in pseudogap state

http://theory.lanl.gov

Rev Mod Phys, v 78, p 373 (2006)

See also Peter Hischfeld lectures

REVIEWS OF MODERN PHYSICS, VOLUME 81, JANUARY-MARCH 2009

Defects in correlated metals and superconductors

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(Published 8 January 2009)

Motivation 1

Impurities as a local probe of the symmetry of the superconducting order parameter:



-1.2 mV

Zn atoms in Bi₂Sr₂Ca(Cu_{1-x}Ni_x)₂O_{8+ δ}

Four-fold symmetry, aligned with the gap nodes

S.H. Pan et al, Nature (2000)

Motivation 2

Impurities as a probe of the Pseudogap state of high-Tc superconductors



Motivation 3

Most of the theory and experiment on conventional superconductors was done *before* Local probes, like Scanning Tunneling Microscopy were Invented.

A lot of views have had "calcified" into standard Textbook wisdom.

I will show some examples where local probes bring in New and unexpected perspective, sometime new results.

Brief history

- 1911 H. Kamerlingh-Onnes: superconductivity in mercury, Tc = 4K
- 1933 Meissner effect: expulsion of magnetic field
- 1957 Bardeen-Cooper-Schrieffer (BCS) theory
- 1962 Josephson effect
- 1986 first high-Tc superconductor, LBCO Tc = 35K
- 1987 YBCO, Tc = 93K warmer than liquid N $1995 - Hg_{0.8}Tl_{0.2}Ba_2Ca_2Cu_3O_{8.33} \quad Tc = 138K$







Superconductivity primer

Metal





 $\varepsilon_{k} = \frac{k^{2}}{2m} - E_{F}$ Electrons: $c_{k\uparrow}, c_{k\downarrow}$

Superconductor



Cooper pairs (k & -k)



$$E_k = \pm \sqrt{\varepsilon_k^2 + \Delta^2}$$

Bogoliubov q.particles:

$$\gamma_{k\uparrow}, \gamma_{k\downarrow} (\gamma_{k\uparrow} = u_k c_{k\uparrow} + v_k c_{-k\downarrow}^+)$$

Local Probes:Scanning tunneling microscopy/spectroscopy



Spectroscopic map (LDOS map) can be obtained.





Bogoliubov-Nambu Hamiltonian background

Bogoliubov angle measures the relative weight between particle And hole component in Bogoliubov deGennes quasiparticle

 $H = \varepsilon(k)c_{ks}^{\dagger}c_{ks} + (\Delta_k c_{ks}^{\dagger}c_{-k-s}^{\dagger} + h) d$

$$\gamma_{k\uparrow}^* = u_k c_{k\uparrow} + v_k c_{-k\downarrow}^*$$

 $|u(k)|^2 = 1/2 \ 1(-\varepsilon(k)/E(k))$ $|v(k)|^2 = 1/2 \ 1(+\varepsilon(k)/E(k))$ True excitations in SC state $\gamma_k |\Psi_0\rangle = 0;$ That are quantum mechanica Mixture of particle and hole $\gamma_k^{\dagger} |\Psi_0\rangle = |\Psi_1\rangle$

Important! Dual nature of qp inSC

$$\gamma^{\dagger}_{\uparrow k} |\Psi_{0}\rangle = |\Psi_{1}\rangle \propto c^{\dagger}_{\uparrow}(k) |\Psi_{1}\rangle$$

and at the same time $\propto c_{\downarrow}(-k) |\Psi_{1}\rangle$

Bogoliubov Quasiparticles

$$\gamma_{i\uparrow} = u_i \psi_{i\uparrow} - v_i \psi_{-i\downarrow}^{+} \qquad \text{mixing particles and holes}$$

$$\gamma_{-i\downarrow}^{+} = u_i \psi_{-i\downarrow}^{+} + v_i \psi_{i\uparrow}$$

$$u_i^{\ 2} + v_i^{\ 2} = 1$$

GS: $|\Psi_0\rangle = \prod_{i>0} (u_i + v_i \psi_{i\uparrow}^{+} \psi_{-i\downarrow}^{+}) |0\rangle$
Excited State:

$$|\Psi_-\rangle = \chi_{+}^{+} |\Psi_-\rangle = \mu_{+}^{+} \prod (u_i + v_i \psi_{i\downarrow}^{+} \mu_{+}^{+}) |0\rangle$$

$$|\Psi_{-1\downarrow}\rangle = \psi_{-1\downarrow}|\Psi_{0}\rangle = \psi_{-1\downarrow}\prod_{i>1}(u_{i} + v_{i}\psi_{i\uparrow}\psi_{-i\downarrow})|0\rangle$$

$$\psi_{1\uparrow}|\Psi_{0}\rangle = v_{1}|\Psi_{-1\downarrow}\rangle, \qquad \text{Negative bias}$$

$$\psi_{-1\downarrow}^{+}|\Psi_{0}\rangle = u_{1}|\Psi_{-1\downarrow}\rangle \qquad \text{Positive bias}$$

Tunneling into SC

• Particle and hole component in tunneling sample the same Bogoliubov Nambu quasiparticle. The only difference is relative weight of these two processes.



Tunneling into SC

• Particle and hole component in tunneling sample the same Bogoliubov Nambu quasiparticle. The only difference is relative weight of these two processes.





You noticed!

•Few points on overall approach in this lecture:

- •Impurities and defects are interesting.
- •They are telling us a story about host
- •They can be useful as a markers of new physics
- •Controlled impurity physics is at the core of multibillion \$ semiconducting industry
- •Impurity states enable the new functionality
- , that is used in devices. Case; my computer that allows me to project this lecture.
- •Impurities can be placed deliberately to destroy the state we are trying to understand
- •From response of unknown state to impurities we can infer what "it is made of"
- •Not average description but one at a time. Averaged quantities can be useful. But they can be misleading.

Conventional, s-wave superconductors (BCS)

T-matrix approximation

Single impurity of strength V at $\mathbf{r} = 0$ (Yu Lu, Shiba, ...):

 $G(\mathbf{r},\mathbf{r}';\omega) = G_0(\mathbf{r}-\mathbf{r}';\omega) + G_0(\mathbf{r};\omega)T(\omega)G_0(\mathbf{r}';\omega)$



 $T(\omega) = U + U^2 G_0(0, \omega) + U^3 G_0(0, \omega)^2 + \dots = \frac{1}{1/U - G_0(0, \omega)}$

Impurity-induced resonance:

$$1/U = G_0(\mathbf{r} = 0, \omega) = \frac{1}{N} \sum_{\mathbf{k}} G_0(\mathbf{k}, \omega)$$

Bound state in 2D metal due to weak attarctive potential

 $\mathcal{H} = \sum_{\mathbf{k}} \left[\boldsymbol{\epsilon}(\mathbf{k}) - \boldsymbol{\mu} \right] c_{\mathbf{k},\sigma}^{\dagger} c_{\mathbf{k},\sigma} + \sum_{\mathbf{k},\mathbf{k}'} U_0 c_{\mathbf{k},\sigma}^{\dagger} c_{\mathbf{k}',\sigma}.$ $U_0\delta(\mathbf{r})$ $\omega_0 = W \exp\left(-\frac{1}{\rho_2}\right)$ if d = 2, $G_0(\boldsymbol{\omega}, \mathbf{k}) = [\boldsymbol{\omega} - \boldsymbol{\epsilon}(\mathbf{k})]^{-1}.$ energy $T(\boldsymbol{\omega}) = U_0 + U_0 \sum_{\mathbf{k}} G_0(\boldsymbol{\omega}, \mathbf{k}) T(\boldsymbol{\omega}),$ $l_0 = (\hbar^2/2m\omega_0)^{1/2} \gg a.$ size $T(\omega) = \frac{U_0}{1 - U_0 \sum G_0(\omega, \mathbf{k})}$ ε(**k**) $g_0(\omega) = \frac{1}{N} \sum_{\mathbf{k}} G_0(\omega, \mathbf{k})$ $= \int_{-\infty}^{W} \frac{d\epsilon N(\epsilon)}{\omega - \epsilon}$ $-\omega_0$ $\delta N(\mathbf{r},\omega) = |G_0(\mathbf{r},\omega_0)|^2 \delta(\omega - \omega_0),$ Local DOS $g_d(\omega) = -\Gamma_2 \ln[|W/\omega - 1|].$ $G_0(\mathbf{r},\omega) = N_0 J_0(k_F r) \ln[W/\omega]$

Same preedure is applied to SC case, except Green's functions are now matrices

$$\mathcal{H}_{0} = \sum_{\mathbf{k}\alpha} \varepsilon_{\mathbf{k}} c_{\mathbf{k},\alpha}^{\dagger} c_{\mathbf{k}\alpha} + \Delta_{0} \sum_{\mathbf{k}} \{ c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger} + c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} \}.$$

$$H_{\text{ex}} = \frac{1}{2N} \sum_{\substack{\mathbf{k},\mathbf{k}'\\\alpha\beta}} J(\mathbf{k} - \mathbf{k}') c^{\dagger}_{\mathbf{k},\alpha} \boldsymbol{\sigma}_{\alpha\beta} \cdot \mathbf{S} c_{\mathbf{k}'\beta}.$$

$$\begin{split} E u_{\alpha}(\mathbf{r}) &= \varepsilon(\mathbf{k}) u_{\alpha}(\mathbf{r}) + i \Delta \sigma^{y}_{\alpha\beta} v_{\beta}(\mathbf{r}) + U_{\alpha\beta}(\mathbf{r}) u_{\beta}(\mathbf{r}), \\ E v_{\alpha}(\mathbf{r}) &= -\varepsilon(\mathbf{k}) v_{\alpha}(\mathbf{r}) - i \Delta \sigma^{y}_{\alpha\beta} u_{\beta}(\mathbf{r}) - U_{\alpha\beta}(\mathbf{r}) v_{\beta}(\mathbf{r}). \end{split}$$

 $\hat{T}_{l}(\boldsymbol{\omega}) = \hat{U}_{l} + \hat{U}_{l} \int d\boldsymbol{\varepsilon} \hat{G}_{0}(\mathbf{k}, \boldsymbol{\omega}) \hat{T}_{l}(\boldsymbol{\omega}).$

$$T^{(1)}(\omega) = \frac{1}{N} \frac{(JS/2)^2 \hat{g}_0(\omega)}{I - [JS \hat{g}_0(\omega)/2]^2}.$$

$$\hat{g}_0(\omega) = \frac{1}{N} \sum_{\mathbf{k}} \hat{G}_0(\mathbf{k}, \omega) = -\pi N_0 \frac{\omega + \Delta_0 \sigma_2 \tau_2}{\sqrt{\Delta_0^2 - \omega^2}}$$

$$\epsilon_0 = \frac{E_0}{\Delta_0} = \frac{1 - (JS\pi N_0/2)^2}{1 + (JS\pi N_0/2)^2}.$$

$$\frac{\sin(p_F r - \delta_0^{\pm})}{p_F r} \exp[-r|\sin(\delta_0^{\pm} - \delta_0^{-})|/\xi_0],$$





Bound state formed due to magnetic gain

Bound state is FULLY spin polarized !



Remark about Abrikosov-Gorkov theory



Weak impurity





Predicted behavior only occurs for weak impurities:





Predicted behavior only occurs for weak impurities:





Predicted behavior only occurs for weak impurities:







In Reality Imurity states can be at any energy Energy of a single impurity state is a center of impurity band




A.Yazdani et al, Science(1997)



Impurity intragap States on Nb Superconductor. Gold has no effect on SC state Wave function of imp state Gd, Mn atoms on Nb surface (Tc = 7K)

Lifshitz Tails and gapless supercoductivity in s-wave Case.

Rare fluctuations are the ones that are nominally very unlikely. However is the mean exectation of some observable is zero and Fluctuaion will render this expectation nonzero then no matter how low probability is this fluctuation is important (hugely important in fact) = 0.0001/0-> infty

Rare fluctuations in the impurity distribution will make any s-wave superconductor gapless, regardless how low impurity Concentration is. It is gapless albeit with exponentially small Density of States.

Rev Mod Phys, v 78, p 373 (2006)

Average theory of impurity scattering depend on Only scattering rate $\Gamma = n_{imp}J^2S^2$

Band in case of strong impurity scattering, J~1

<u>N(@)</u> N₀

D

 ε_0

1



(unitary)

 Δ_0

 $\Gamma = const$

n_{imp} small

JS >> 1

Averaged theory From textbooks does not capture this ditsinction

Band in case of weak impurity scattering J <<1

ò

Lifshits tails or rare fluctuations

$$P[U] \propto \exp\left[-\frac{1}{2U_0} \int d^d \mathbf{r} U^2(\mathbf{r})\right].$$

$$\ln \frac{N(E)}{N_0} \approx -S[U_{\rm opt}], \qquad (14.3)$$

where the optimal fluctuation is obtained by minimizing the functional

$$\mathcal{S}[U] = \frac{1}{2U_0^2} \int d^d \mathbf{r} U^2(\mathbf{r}) + \lambda(\mathcal{E}[U] - E)$$
(14.4)

$$\mathcal{E}[U] = \langle \hat{H} \rangle = \langle \psi | \frac{\mathbf{p}^2}{2m^*} + U | \psi \rangle = E.$$
(14.5)

Minimization in Eq. (14.4) with respect to U dictates that

$$U(x) = -\lambda U_0^2 \langle \psi | \frac{\delta \hat{H}}{\delta U} | \psi \rangle = -\lambda U_0^2 \psi^2(x), \qquad (14.6)$$

while minimization with respect to λ requires that the bound state is at energy *E*, i.e. (setting $m^*=1$),

$$\left[-\frac{1}{2}\nabla^2 - \lambda U_0^2 \psi^2(\mathbf{r})\right] \psi(\mathbf{r}) = E\psi(\mathbf{r}).$$
(14.7)

In one dimension this equation is exactly solved to give (Halperin and Lax, 1966)

$$\psi(x) = \sqrt{\frac{\kappa}{2}} \operatorname{sech} \kappa x, \qquad (14.8)$$

$$\lambda U_0^2 = 8\kappa, \tag{14.9}$$

with $E = -\kappa^2/2$. Therefore the "optimal action" is $S(U_{opt}) \simeq \kappa^2/U_0^2 \sim |E|^{3/2}$ as expected.

$$N(E) = \int \mathcal{D}UP[U]\delta(E - \mathcal{E}[U]),$$

 $E \sim U \sim L^{-2}$ $S[U] \approx L^{d} U^{2} / U_{0}^{2}$, or $\ln[N(E)/N_{0}] \approx -|E|^{2-d/2} / U_{0}^{2}$

Lifshitz tails in SC make it gapless s wave





Unconventional Superconductors: d-wave (High Tc supercondcutors)

Experimental Phase Diagram of HTSC



Crystal Structure of YBa₂Cu₃O₇₋₈



YBa₂Cu₃O_{7-ð} Unit Cell a=3.83A b=3.88A c=11.68A



r_{Ba-O}=2.75A

Y Layer

YBCO structure

Earlier work: d-SC Impurity Resonances



the nature of an unknown state

Theory of impurity resonance in d-wave SC

Balatsky, Salkola, Rosengren (95) Hint = U n(0)





Nonmagnetic And magnetic imp

Theory of impurity resonance in d-wave SC



Theory of impurity resonance in d-wave SC







~20

Zn atoms

Cross shaped Impurity state (due to 4 fold gag

Nature **403**, 746 (2000).



Data by A. Matsuda NTT Research, Japan



Remains to be seen experimentally if this is true in graphono

Motivation 2: magnetic vs nonmagnetic impurities

Impurities as a probe of the high-Tc mechanism



Zn, non-magnetic, S = 0suppresses superconductivity

Ni, magnetic, S = 1, does not suppress superconductivity

Local Density of states in Dirac Materials:

Nonmagnetic impurity in d-wave SC and in graphene will have N(r, j, j)

 $\begin{aligned} &\operatorname{Re}[G_{0}(r, r_{imp}, \omega)G(r_{imp}, r, \omega)]\operatorname{Im} T(\omega) \\ &G(r, r, \omega) = G_{0}(r, r, \omega) + G_{0}(r, r_{imp}, \omega)T(\omega)G_{0}(r_{imp}, r, \omega) \\ &= |\Psi(r, r_{imp})|^{2} Z_{imp}\delta(\omega - E_{imp}) \end{aligned}$ $\begin{aligned} &T(\omega) = \frac{U/(1 - G_{0}(\omega)U)}{U | LogU/W |} \\ &\operatorname{pole} 1/U = G_{0}(\omega) \\ &\Psi(r, \omega) \sim \operatorname{Re} G_{0}(r, \omega) \sim \frac{\sin(k_{F}r + \delta)}{G_{0}(\omega) + i \operatorname{si}^{r}gn(\omega)]} \\ &LDOS \operatorname{N}(r) \sim 1/r^{2} \text{ for all Dirac propagators} \end{aligned}$

nature of local scattering center is not important:

Kondo, potential scattering site, spin orbit impurity etc.

regardless being d-wave SC or graphene or anything else

result of power counting

$$G(\mathbf{r}, \omega \to 0) \sim \int d^2 k \, \exp(i\mathbf{k} \cdot \mathbf{r}) G(\mathbf{k}, \omega \to 0)$$
$$\sim \int k dk \, \exp(i\mathbf{k} \cdot \mathbf{r}) \frac{v_F k}{k^2} \sim 1/r.$$

T. Wehling, PRB, 75, 125425 (2007)
C. Bena, *PRL* 100, 076601 (2008)
T. Pereg-Barnea, A. H. MacDonald, *PRB* 78, 014201 (2008)

Model

P.J. Stamp (1987) Byers, Flatte, Scalapino (1993) Balatsky, Salkola, Rosengren (1995) Salkola, Balatsky, Schrieffer (1997)

 $H = H_0 + H_{imp}$



$$H_{0} = -\sum_{i,j,\sigma} t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} + V \sum_{\langle i,j \rangle,\sigma} c_{i\downarrow} c_{j\uparrow} \left\langle c_{j\uparrow}^{\dagger} c_{i\downarrow}^{\dagger} \right\rangle + \text{h.c.}$$
$$H_{\text{imp}} = V_{\text{imp}} (n_{0\uparrow} + n_{0\downarrow}) - S_{\text{imp}} (n_{0\uparrow} - n_{0\downarrow})$$

Hopping: nn: t = 400 meVnnn: t' = 0.3 t = 120 meVAttraction: nn: V = -0.525 t = -210 meV

Ni, Theory vs. Expt – Images

Theory: $V_{imp} = t$; $S_{imp} = 0.4 t$; doping 16%







Experiment: E.W. Hudson , *et.al*.





Zn: Strong potential imp

Theory V_{imp} = -10 t = -4 eV doping 16%

Experiment S.H. Pan et al





+2 mV



Impurity site has to be bright!!!

Ni, weak potential + spin imp

Theory:



Potential impurity in BSCCO (0.16 doping)



Ni – weak repulsive 8 electrons in 3*d*

Zn – strong attractive 10 electrons in 3*d* (filled shell)



FIG. 5. Self-consistently determined gap function near the scalar impurity in a 2D d-wave superconductor. The gap suppression is strongly localized near the impurity site aside from weak oscillating tails. From Franz *et al.*, 1996.

Gap is suppressed on the atomic scale Not only on scale of coherence length as GL would Lead us to believe.

Impurity as a probe of Pseudogap (PG) Regime



Vanishing of density of states at the fermi level is sufficientfor formation of impurity state.H. Kruis, I. Martin and AVB

PRB, 2002

PHYSICAL REVIEW B, VOLUME 64, 0245XX

Impurity-induced resonant state in a pseudogap state of a high- T_c superconductor

H. V. Kruis,^{1,2} I. Martin,² and A. V. Balatsky²



 $T = U/(1-G_0(\Omega)U)$ Hence the pole at $G_0(\Omega) = 1/U$

$$G_{0}(\Omega) = -\frac{2\Omega N_{0}}{\Delta_{PG}} \left[\ln \left| \frac{\Delta_{PG}}{\Omega} \right| + 1 - \frac{i\pi \operatorname{sign}(U)}{2} \right] = \frac{1}{U}.$$

$$\begin{split} \Omega &= \Omega' + i \Omega'' \\ &= - \frac{\Delta_{\rm PG}}{2 \, U N_0} \frac{1}{\ln |2 \, U N_0|} \bigg[1 - \frac{1}{\ln |2 \, U N_0|} + \frac{i \, \pi \, \, \operatorname{sgn}(U)}{2 \ln |2 \, U N_0|} \bigg], \end{split}$$

H. Kruis et al, PRB 64, p 054501(2001): RMP 78 p37

Role of the Interlayer Tunneling

 Ψ_{ij} – value impurity state wavefunction on site (*i*,*j*)

Intensity in CuO layer: $A_{ij} = / \Psi_{ij} /^2$



Martin, Balatsky, Zaanen (2000)

Intensity in BiO layer:

 $A_{ij} = |\Psi_{ij-1} + \Psi_{ij+1} - \Psi_{i-1j} - \Psi_{i+1j}|^2 \sim |\cos kx - \cos ky|$

Direct tunneling (tip to CuO) is exponentially suppressed: t ~ exp(-r/d), d~0.5A



Hopping via virtual states on Bi and Zn(Ni)

One uses the easiest available path. In this case direct tunneling into Cu-O plane is exponentially suppressed.

$$N_{eff}(r,\omega) = \int d\phi \, t(\phi) N(r,\phi,\omega) -$$

surface seen Density of States

BiO vs. CuO plane image







CuO plane image





Experiment!

Zn: Strong potential imp

Theory V_{imp} = -10 t = -4 eV doping 16%

Experiment S.H. Pan et al





Impurity site has to be bright!!!

+2 mV

0.06

0.04

0.02

n

BiO vs. CuO plane image





Experiment!

Why local nanoscale probes are useful
Examples (incomplete list)



MIT in VO2 Basov Science, v 318, 1759 (2007)



A. Yacobi J. Stroscio Gap inhomogeneity In high Tc oxides J.C. Davie, S.H. Pan, A. Yazdani



Gap inhomogeneity in novel FeAs superconductors, J. Hoffman et al



Gap Map, BSCCO (0.5%Ni)



J.C. Davis Group













Y. Niimi et. al., PRL 97, 236804

Conclusion

Impurity and defects in correlated states Are important in that enable new properties

Or make identification of an unknown state easier

One would need right set of tools: experiment...

Theory: investigate local features, no average lifetime Average scattering potential etc.

A lot of correlated materials do show variety of inhomogeneous competing states.

Thanks

M. Salkola I. Martin J.X. Zhu I. Vekhter J.R.Schrieffer D. Scalapino P. Kumar J. Zaanen J. Smakov J.C. Davis and Co D. Morr A. de Lozanne