



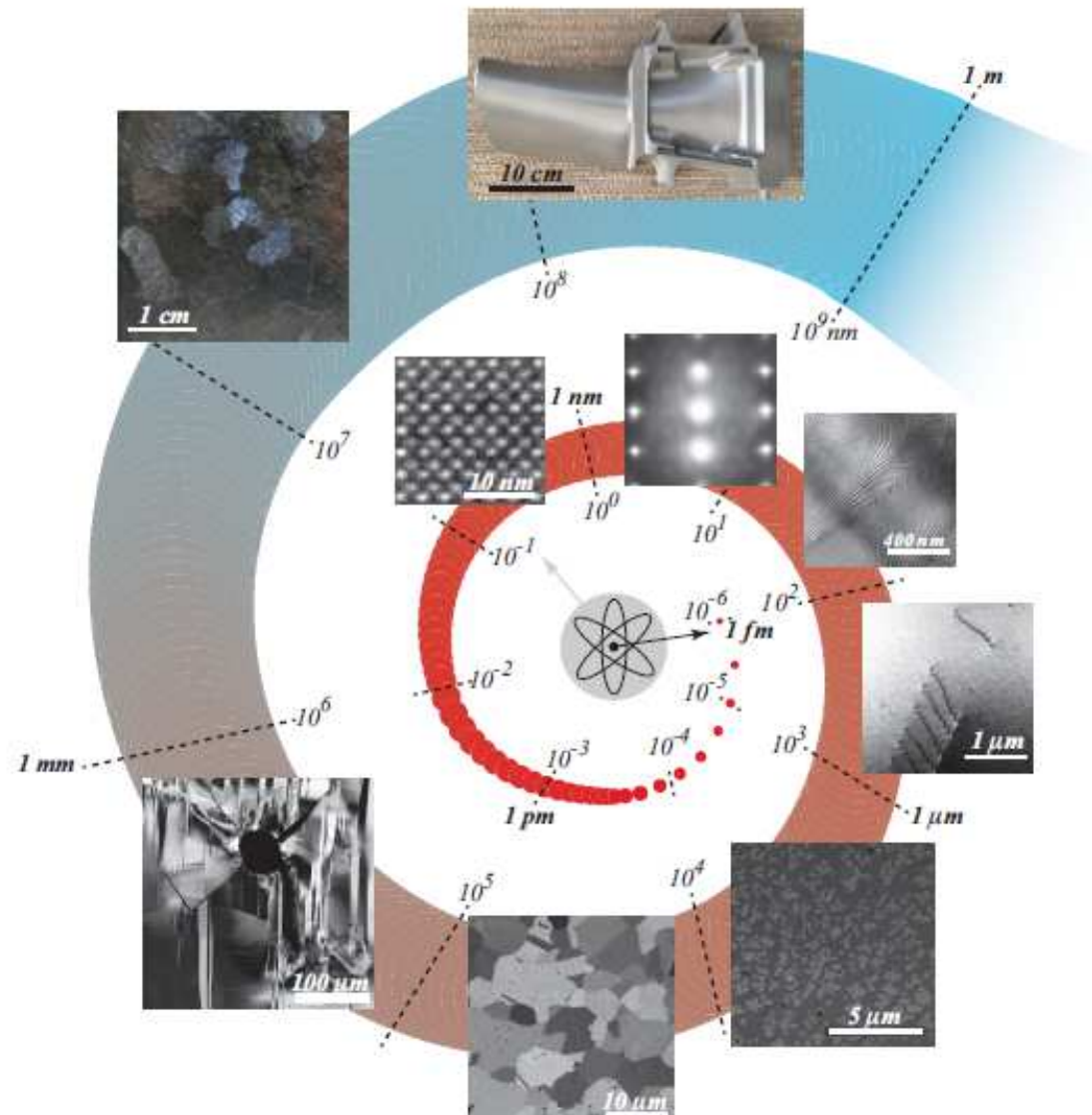
Representing Material Structure

Surya R. Kalidindi

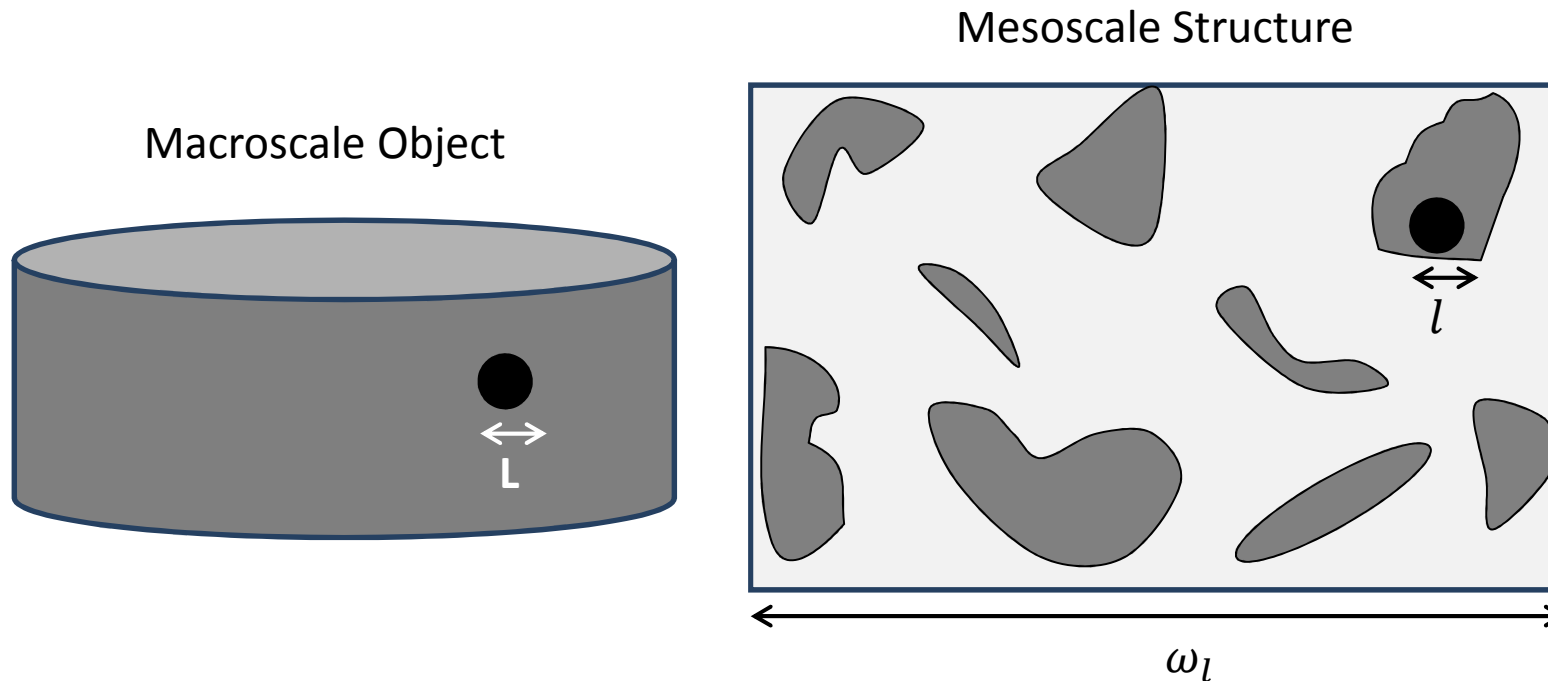
Funding: AFOSR-MURI, NIST



Hierarchical Material Structure



Well-Separated Length Scales and RVEs



Key Assumptions

- Homogenization length scales L and l exist (allows definition of material constitutive laws at these length scales)
- No major gradients occur at these length scales (fluctuations – even large ones – are allowed)
- ω_l : length scale of a representative (structure) volume element (RVE)
- Well-separated length scales: $l < \omega_l \ll L$

Challenges: Interfaces, Atomistics

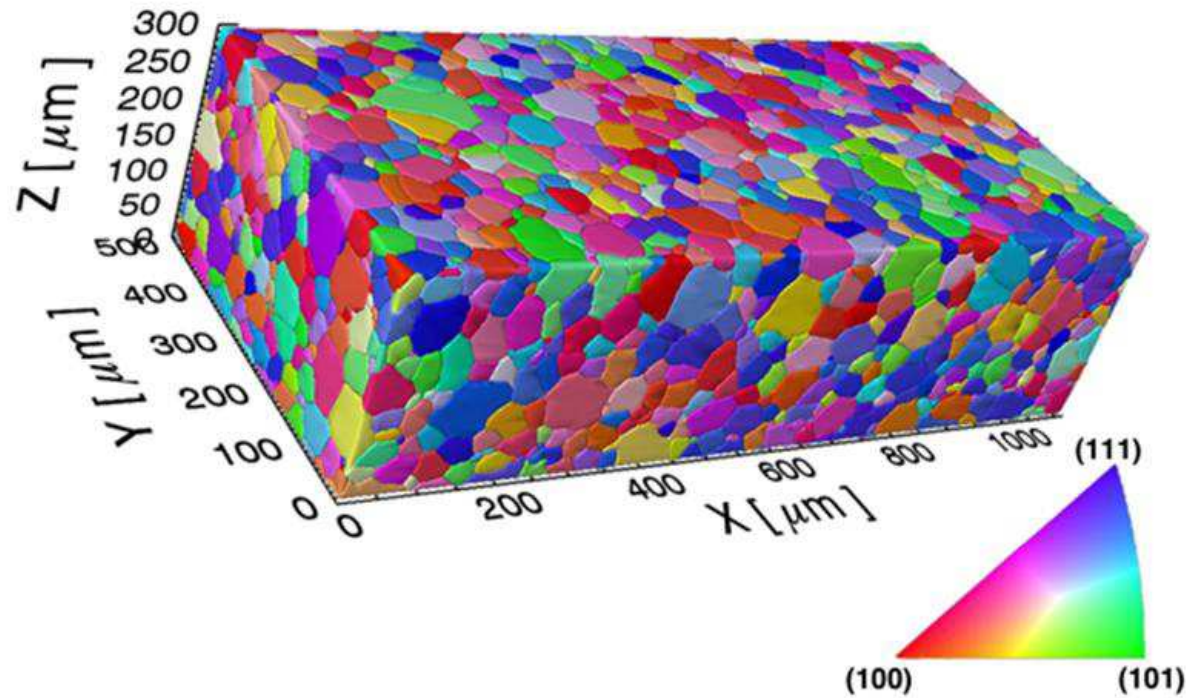
Local States and Local State Spaces

- Local State: a set of material structure attributes needed to completely specify all of the relevant material properties of interest at the selected length scale.
- Local State Space: the complete set of all theoretically possible local states one might expect to encounter in a given material system (including all the local states that may not even be present in a given sample of the selected material system)

Example: Multiphase Composites

$$h = (\rho, c_i)$$
$$H = \{(\rho, c_i) | \rho \in \{\alpha, \beta, \gamma, \dots\}, c_i \in C_i^\rho\}$$

Polycrystalline Microstructures



$$h = (\rho, c_i, g)$$
$$H = \{(\rho, c_i, g) \mid \rho = (\alpha, \beta, \gamma, \dots), c_i \in C_i^\rho, g \in FZ_\rho\}$$

Microstructure Function

$$h(\mathbf{x}, t)$$

- Deterministic
- Impractical to implement in practice due to the resolution limits and uncertainty inherent to the characterization techniques used
- Does not allow for the presence of mixed local states (e.g., grain/phase boundary region)

Microstructure Function

$$m(h, \mathbf{x}, t) \text{ or } m(h, \mathbf{x})$$

- Defined as the probability density associated with finding local state h at the spatial location \mathbf{x} at time t
- Captures the probability of finding one of the local states that lie within a small interval dh centered around h at a selected \mathbf{x} ; $m(h, \mathbf{x})dhdx$ would represent the probability and $m(h, \mathbf{x})$ the corresponding probability density
- Experiments typically produce only discretized information suitable to evaluating $m(h, \mathbf{x})$

Digital Representations

- Information is stored in discrete units
- Many examples in images, music, and videos; has completely transformed these domains
- Involves discretization (sampling) and quantization (rounding)
- Most experimental materials datasets are already digitized
- Much less in materials simulation datasets – but there are good reasons to pursue digital representations
- Digital representations allow us to exploit the tools of digital signal processing (DSP)

Discretized Microstructure Function

$$m(h, \mathbf{x})$$

$$\{m_s^n \mid s = 0, 1, 2, \dots, S - 1; n = 1, 2, \dots, N\}$$

m_s^n represents the total volume fraction of all local states from bin n in the spatial bin s

$$\sum_{n=1}^N m_s^n = 1, \quad 0 \leq m_s^n \leq 1$$

Discretized Microstructure Function

(0,3)	(1,3)	(2,3)	(3,3)
(0,2)	(1,2)	(2,2)	(3,2)
(0,1)	(1,1)	(2,1)	(3,1)
(0,0)	(1,0)	(2,0)	(3,0)

$$\mathbf{s} = (s_1, s_2)$$

$$m_{(1,2)}^1 = 1, m_{(1,2)}^2 = 0$$
$$m_{(2,1)}^1 = 0, m_{(2,1)}^2 = 1$$

Eigen microstructures

Discretized Microstructure Function

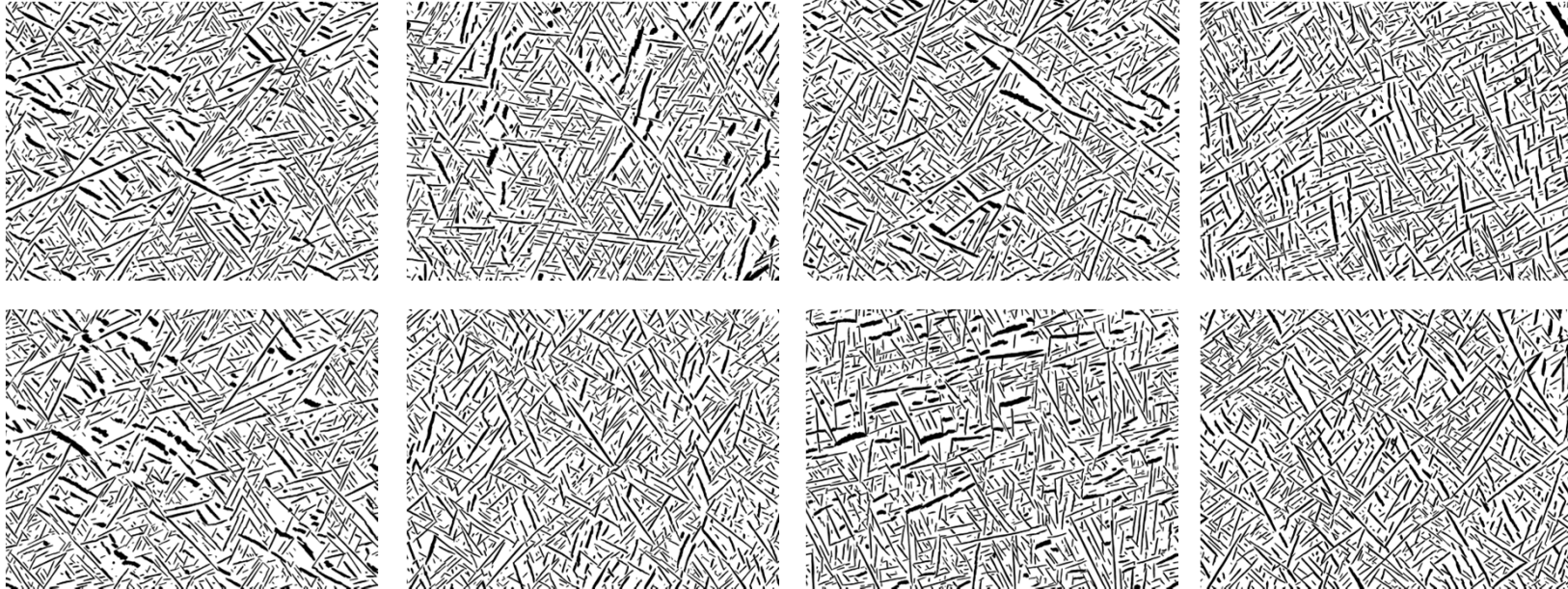
$$m(h, \mathbf{x}) \approx \sum_{\tilde{n}=1}^N \sum_{s=0}^{S-I} m_s^n \chi_n(h) \chi_s(\mathbf{x})$$

$$m(h, \mathbf{x}) = \sum_{n=1}^N \sum_{k=-\infty}^{\infty} M_k^n e^{\frac{i2\pi k \cdot \mathbf{x}}{L}} \chi_n(h)$$

$$m(g, \mathbf{x}) = \sum_{s=0}^{S-I} \sum_{\mu, n, l} M_{ls}^{\mu n} \dot{T}_l^{\mu n}(g) \chi_s(\mathbf{x})$$

$$m(c, \mathbf{x}) = \sum_{s=0}^{S-I} \sum_n M_s^n P^n(c) \chi_s(\mathbf{x})$$

Microstructure Ensemble



$$\{(j)m_s^n; j = 1, 2, \dots, J\}$$

a microstructure versus **the** microstructure
(SVE) (RVE)

Microstructure Statistics

$$m(h, \mathbf{x}) \approx \sum_{\tilde{n}=1}^N \sum_{s=0}^{S-I} m_s^n \chi_n(h) \chi_s(\mathbf{x})$$

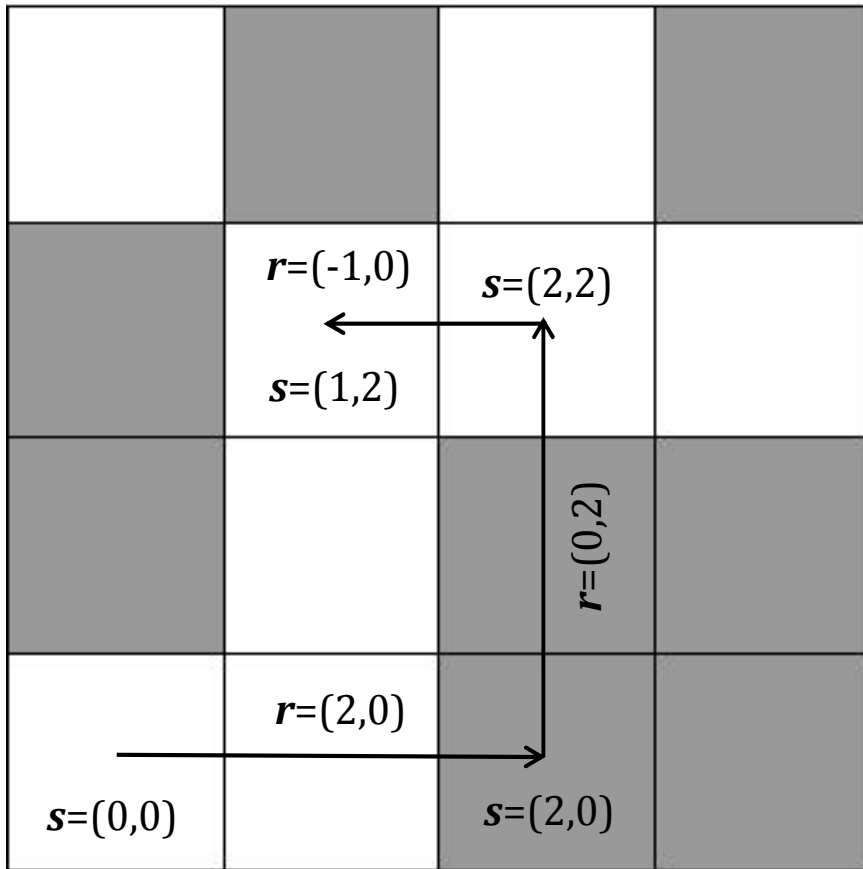
$$f_s^n = \frac{1}{J} \sum_{j=1}^J {}^{(j)}m_s^n \quad j = 1, 2, \dots, J$$

$$f^n = \frac{1}{S} \frac{1}{J} \sum_s \sum_{j=1}^J {}^{(j)}m_s^n$$

1-point spatial correlations or 1-point statistics

Microstructure Statistics

2-point spatial correlations or 2-point statistics



$$f_{rs}^{np} = \frac{1}{J} \sum_{j=1}^J (j) m_s^n (j) m_{s+r}^p$$

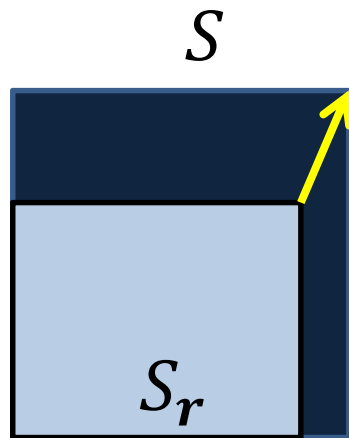
$$f_r^{np} = \frac{1}{S_r} \frac{1}{J} \sum_s \sum_{j=1}^J (j) m_s^n (j) m_{s+r}^p$$

S_r is the number of spatial bins that allow the placement of both s and $s+r$ with the microstructure volume being studied

r indexes bins in vector space

Periodic Boundaries: $S_r = S$

Microstructure Statistics



Non-periodic Boundaries

$$\mathbf{r} = r_1 \mathbf{i} + r_2 \mathbf{j} + r_3 \mathbf{k}, \quad S = S_1 S_2 S_3$$

$$S_r = (S_1 - |r_1|)(S_2 - |r_2|)(S_3 - |r_3|)$$

$$f_r^{np} = \frac{\sum_s \sum_{j=1}^J {}^{(j)}m_s^n {}^{(j)}m_{s+r}^p}{S_r J} = \frac{\# \text{ Successes}}{\# \text{ Trials}}$$

Redundancies in 2-pt. Statistics

$$f_r^{np} = \begin{bmatrix} \left(\begin{array}{ccc} f_r^{11} & \cdots & f_r^{1N} \\ \vdots & \ddots & \vdots \\ f_r^{N1} & \cdots & f_r^{NN} \end{array} \right) \end{bmatrix}$$

$$f_r^{np} = f_{-r}^{pn} \quad \sum_{p=1}^N f_r^{np} = f^n$$

For a two-phase material, if f_r^{11} is known then f_r^{12} , f_r^{21} and f_r^{22} can be calculated.

Niezgoda et al., Acta Materialia, 2008. **56**(18), p. 5285-5292: Only (N-1) independent correlations (using DFTs)

2-pt. Statistics Using DFTs

Implicitly assume periodic boundaries

$$f_r^{np} = \frac{1}{S_r} \sum_s m_s^n m_{s+r}^p$$

$$M_{\mathbf{k}}^n = \mathfrak{F}(m_s^n) = \sum_{s=0}^{S-1} m_s^n e^{2\pi i s \cdot \mathbf{k} / S}$$

$$F_{\mathbf{k}}^{np} = \mathfrak{F}(f_r^{np}) = \frac{1}{S} M_{\mathbf{k}}^{n*} M_{\mathbf{k}}^p$$

$$f_r^{np} = f_r^{np*} \Rightarrow F_{\mathbf{k}}^{np} = F_{(\mathbf{S}-1)-\mathbf{k}}^{np*}$$

$$\text{Autocorrelations: } F_{\mathbf{k}}^{nn} = F_{\mathbf{k}}^{nn*}$$

Plotting 2-pt. Statistics Using DFTs

$$M_k^n = \mathfrak{F}(m_s^n) = \sum_{s=0}^{S-1} m_s^n e^{2\pi i s \cdot k / S} \quad \mathbf{s = 0, 1, \dots, S - 1}$$

$$F_k^{np} = \mathfrak{F}(f_r^{np}) = \frac{1}{S} M_k^{n*} M_k^p \quad \mathbf{r = 0, 1, \dots, S - 1}$$

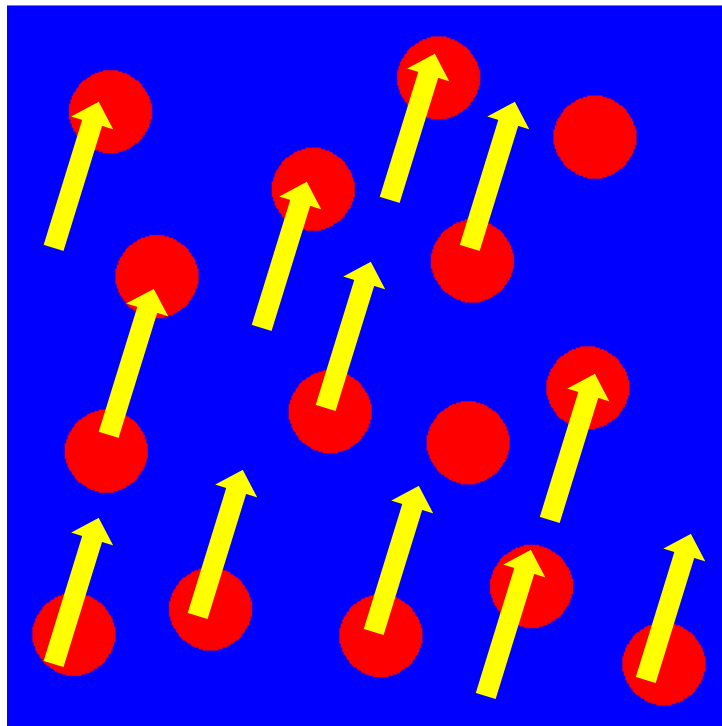
Plotting: $\mathbf{r = -(S - 1)/2, \dots - 1, 0, 1, \dots, (S - 1)/2}$

$(\mathbf{r = -S/2, \dots - 1, 0, 1, \dots, S/2}$ when \mathbf{S} is even)

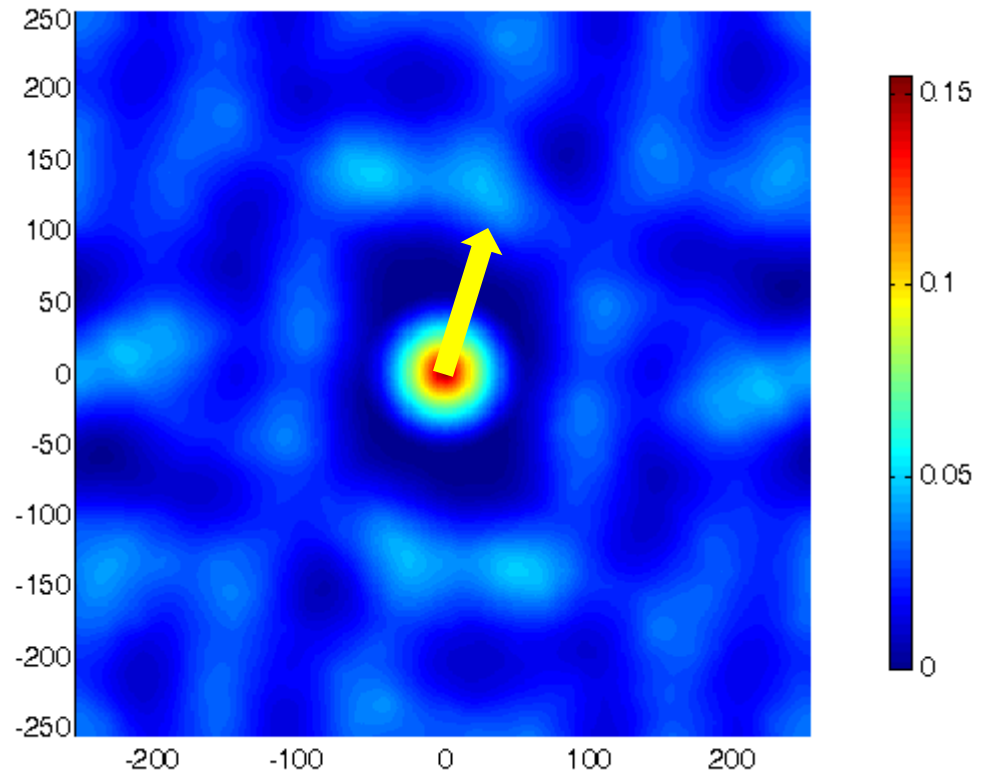
$$f_r^{np} = f_{r+S}^{np}$$

Plotting 2-Point Correlations

Microstructure

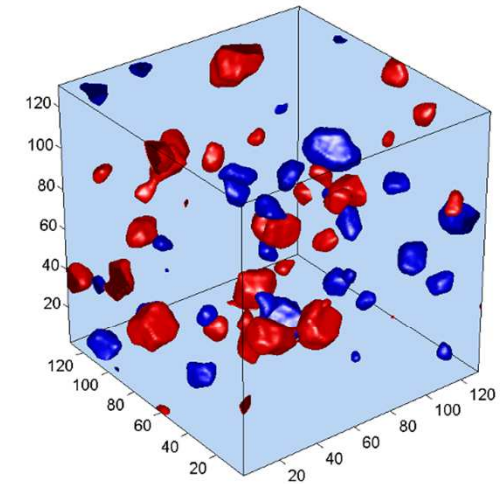
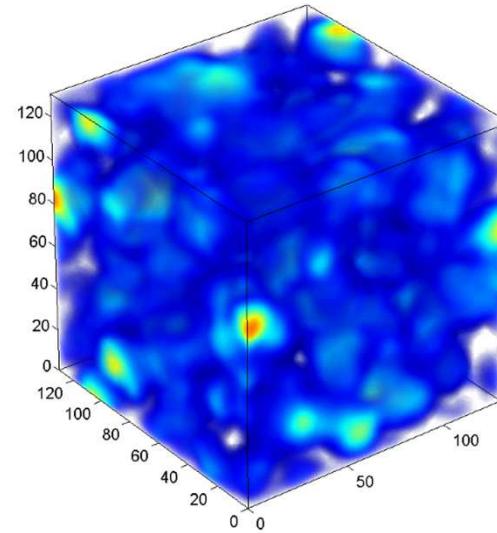
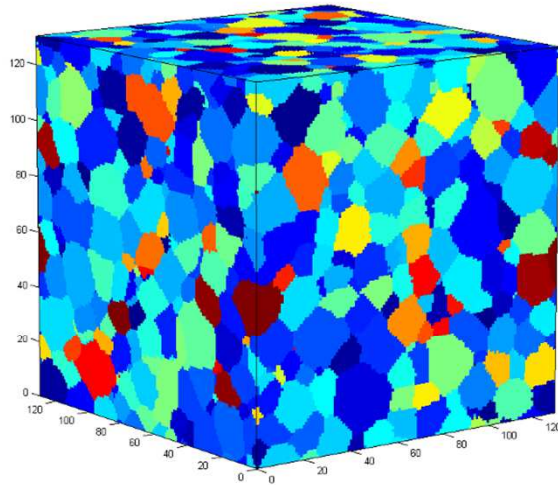


2-point auto correlation



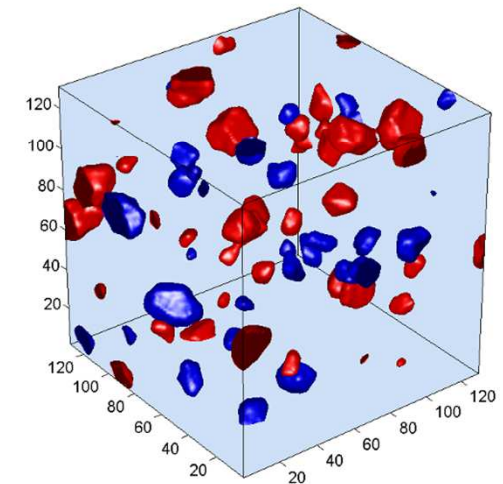
- Image is converted into a smooth continuous pdf
- pdf allows easy statistical operations: mean, variance, ...
- Dominant features of the pdf can be connected to properties and tracked in manufacturing processes

Reconstruction from 2-pt Statistics



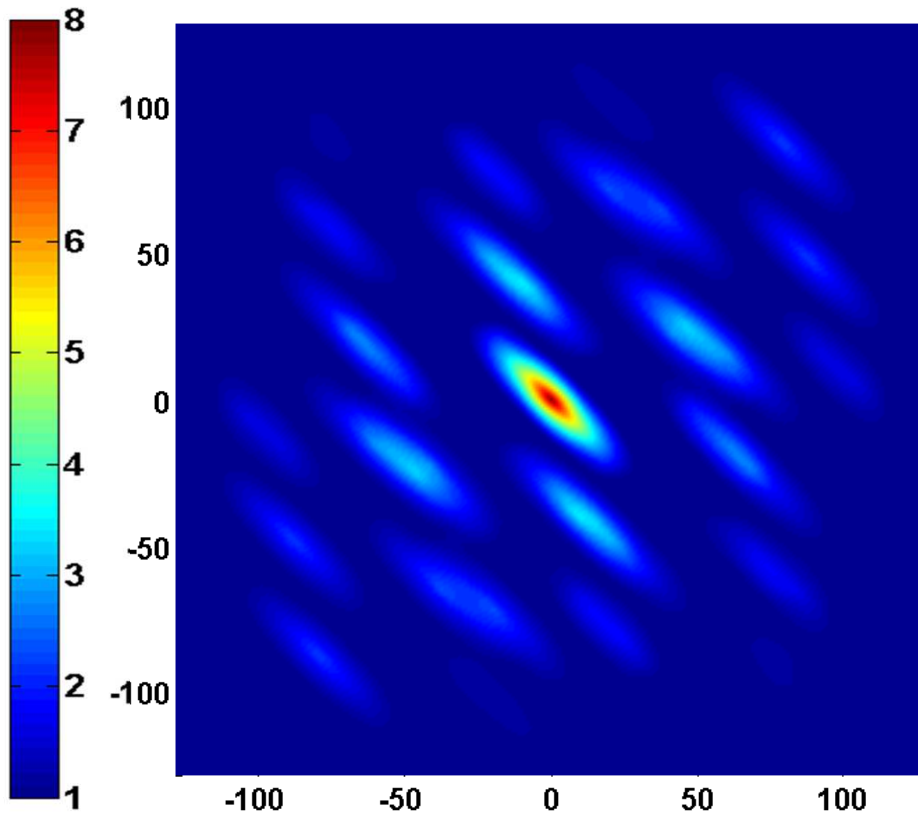
Original microstructure of 130x130x130 volume elements with orientation space binned into 512 distinct orientations. The far right shows bin 35 as blue and bin 5 as red in the original microstructure.

Bottom: Bins 35 and 5 are reconstructed exactly up to a linear shift!



Coherence Length

Coherence length (C) provides guidance on scan sizes



$$\lim_{|\mathbf{r}| \rightarrow C} \frac{f_2(h, h' | \mathbf{r})}{f(h)f(h')} = 1$$

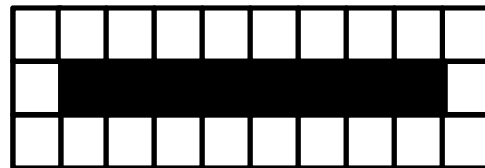
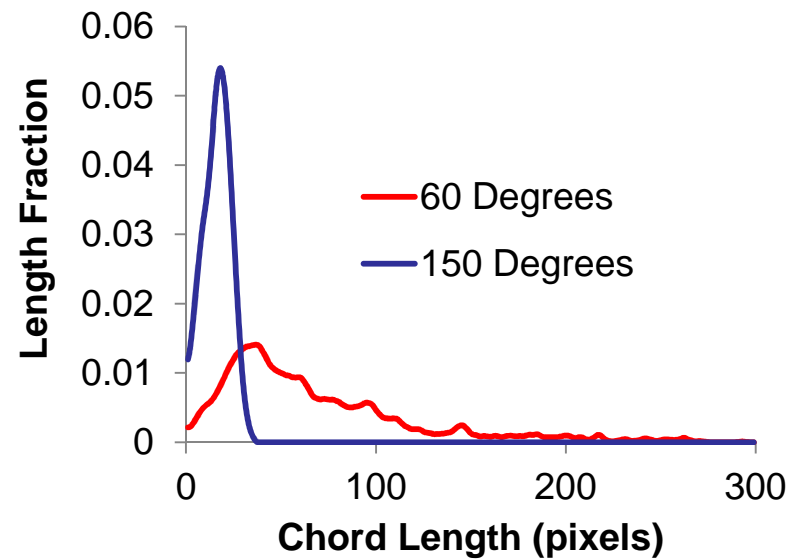
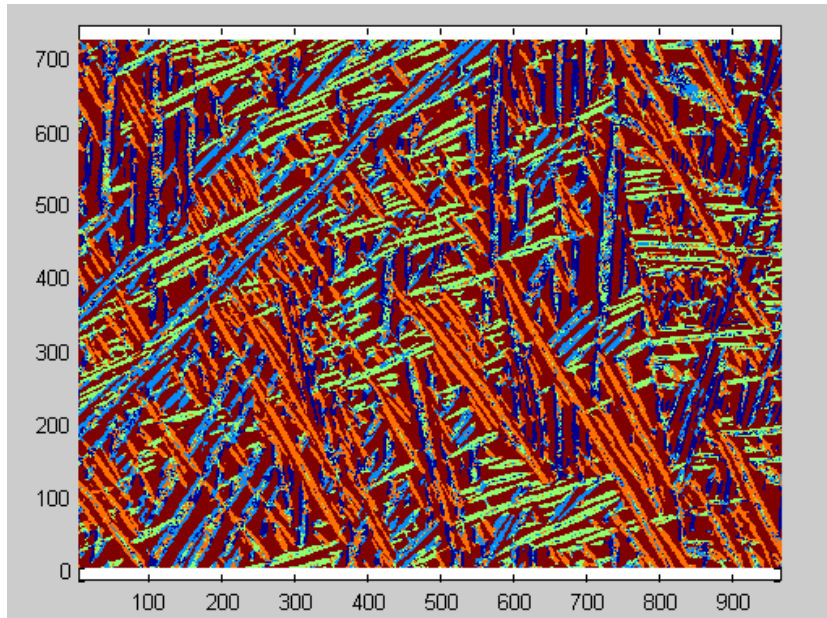
Example Digitally Created
Microstructure:

Average Feature Size ~ 15
pixels

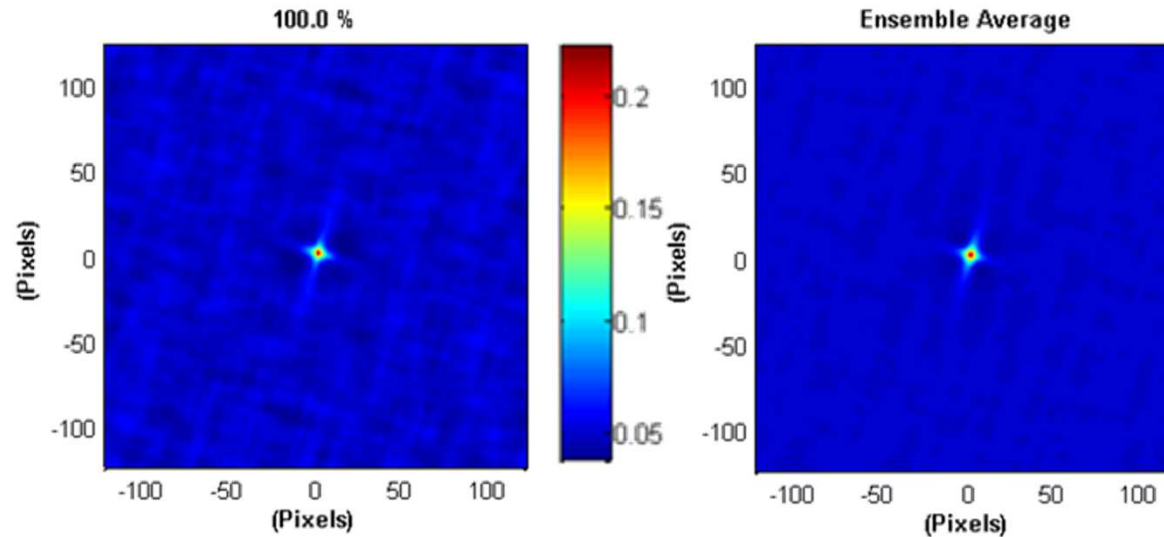
Coherence Length for Auto-
correlation ~ 125 pixels

Features of Interest

Many local features of interest can be identified based on concepts of n-point statistics



RVE



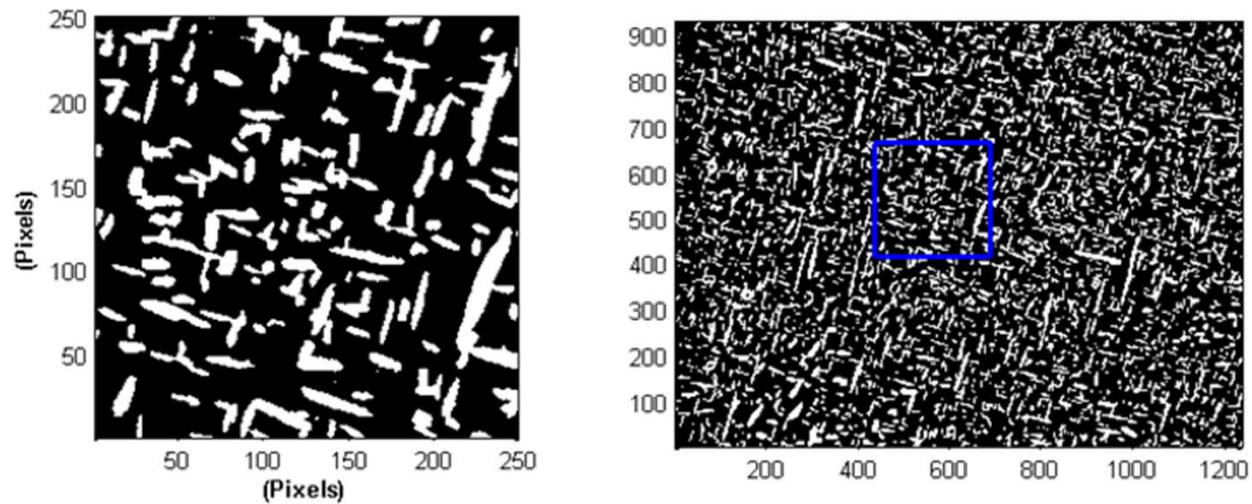
Elastic Modulus

RVE: 111 GPa
Sample: 111 GPa

Critically Stressed Volume Fraction

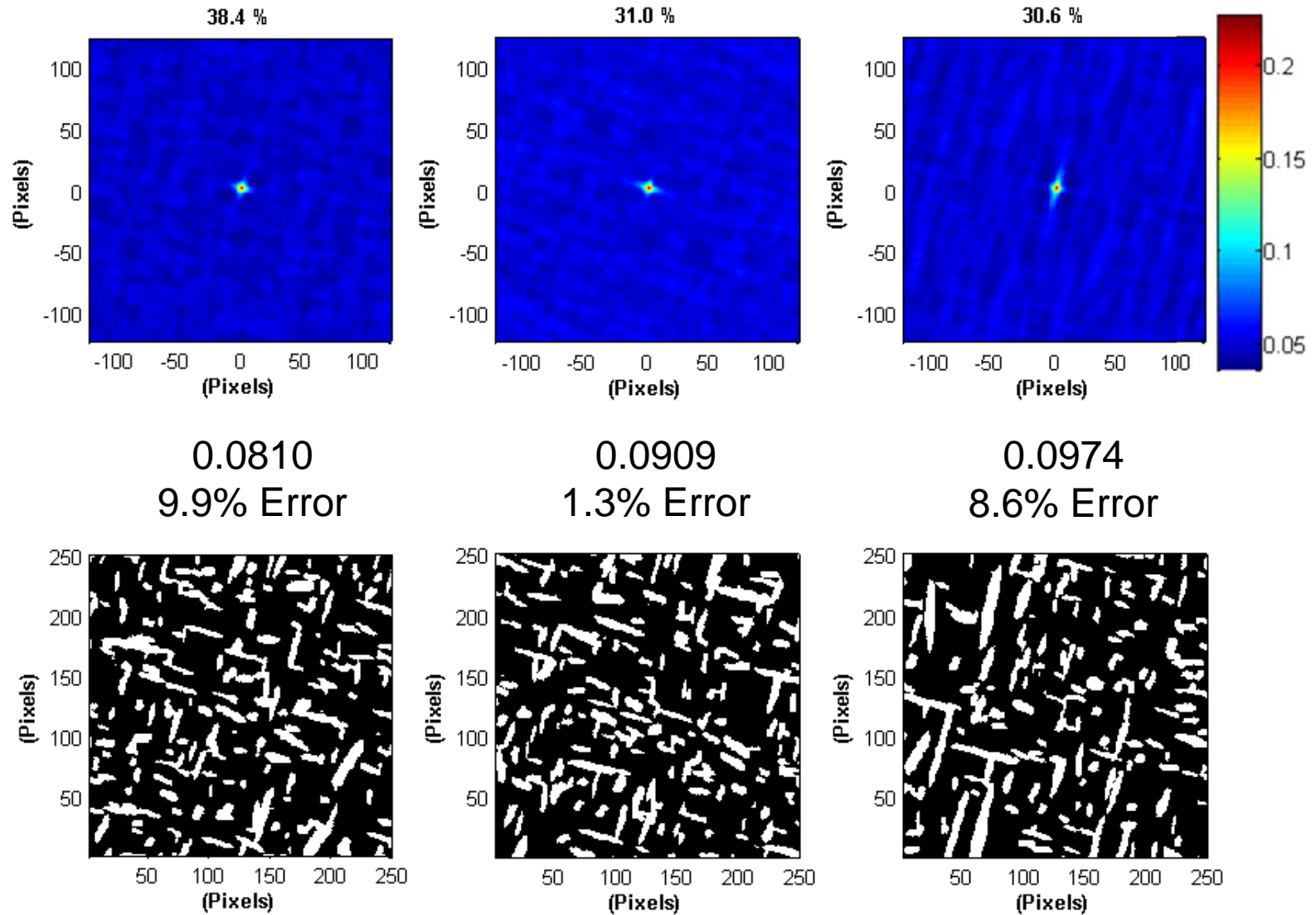
RVE: 0.0866
Sample: 0.0897

3% Error

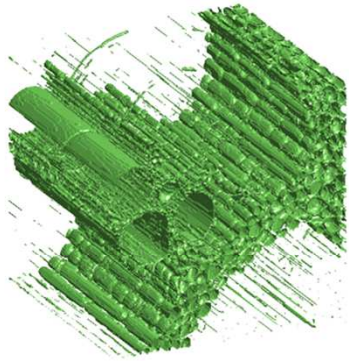


Weighted SVE Sets

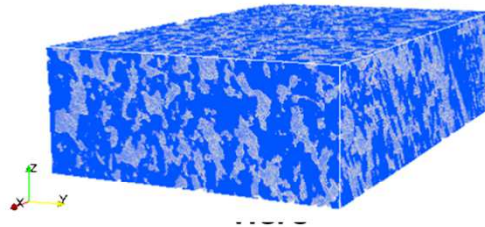
Critically Stressed
Volume
Fraction in
Sample
= 0.0897



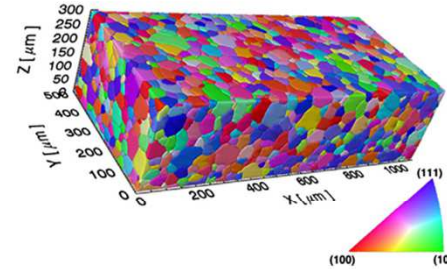
Microstructure Representation



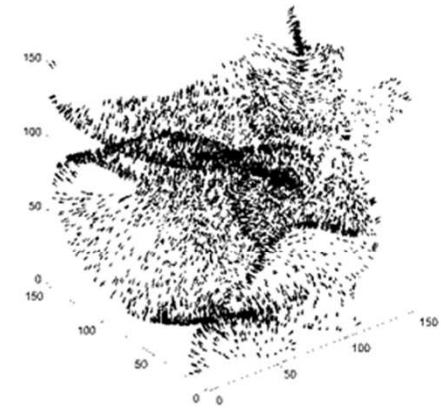
Bamboo
X-Ray
Tomography



Fuel Cell Micro Porous Layer
FIB SEM

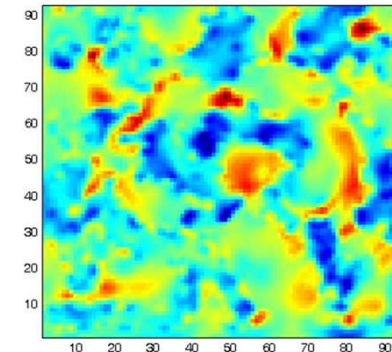


Beta Titanium
Mechanically Polished
SEM+EBSD

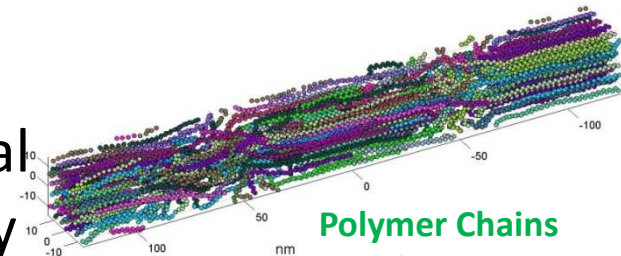


Surface Curvature data

FEM



Strain Distribution
Simulation Data

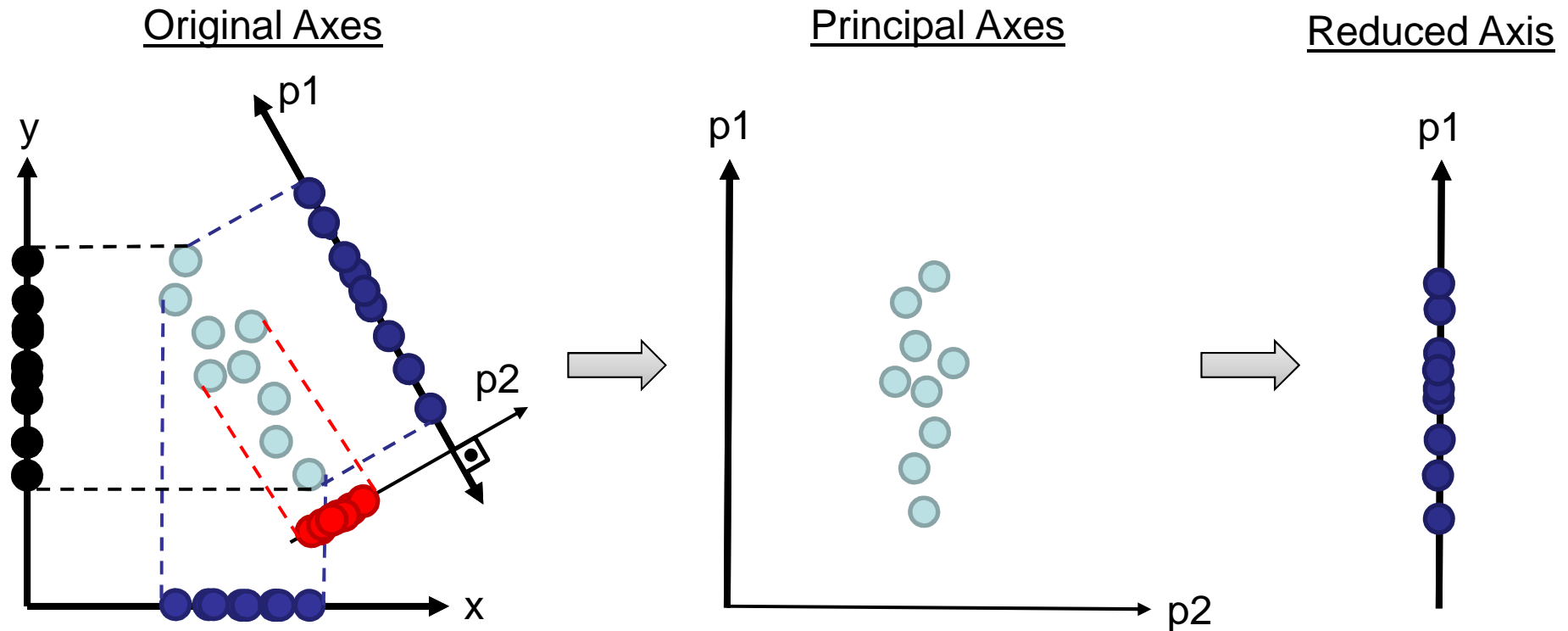


Polymer Chains
Simulation Data

- Thinking of microstructure as a **digital signal** allows a generalized treatment at multiple hierarchical length/structure scales: m_s^h
- Intuitive measures of microstructure: average grain size, average spacing, ODF, MODF, ...
- Naturally organized extensible measures of microstructure: **n-point statistics**
- **Data Analytics**: seek objective low-dimensional representations for process-structure-property relationships

Dimensionality Reduction

Intuition and/or Known Physics and/or Data Driven



- Axes prioritized based on variance in the data
- Unsupervised (i.e., uninformed) and Independent

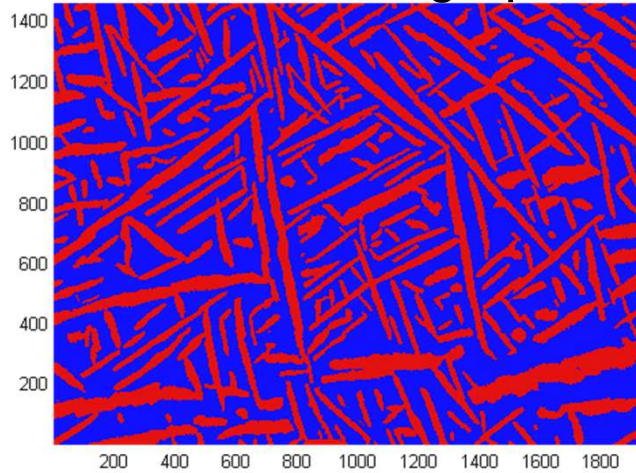
PC Scores as Microstructure Measures

Hypothesis: PCA weights of n -point statistics provide objective measures of microstructure

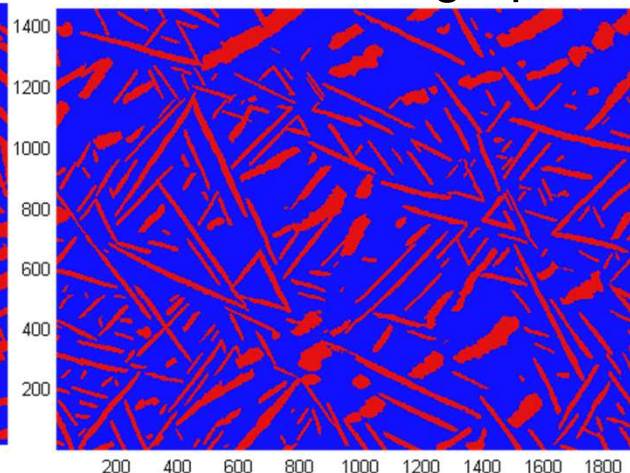
$$f_r^{(j)} = \sum_{k=1}^{\min((J-1), R)} \alpha_k^{(j)} \varphi_{kr} + \bar{f}_r$$

Microstructure Databases

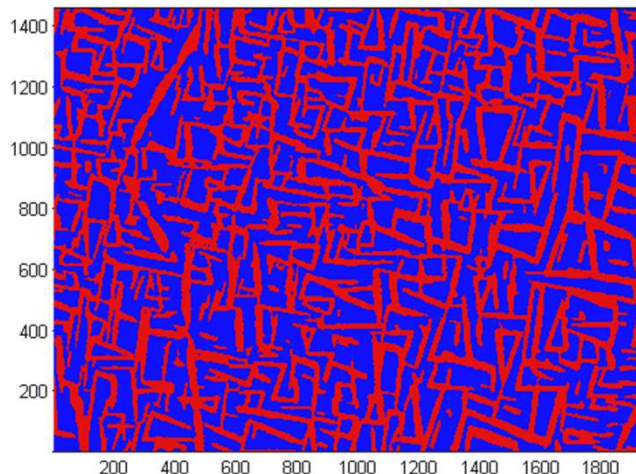
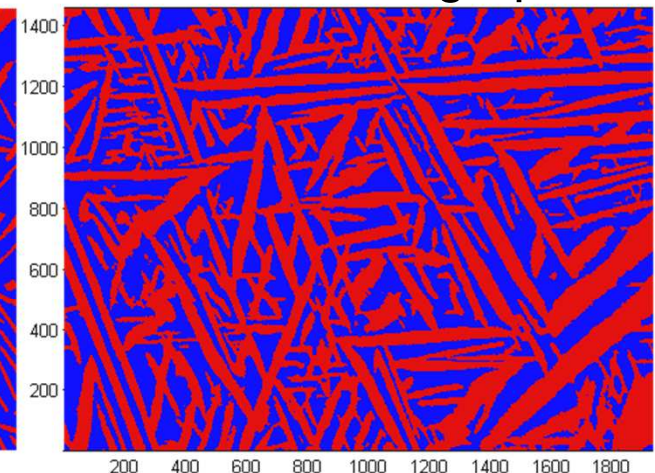
HT1-20 micrographs



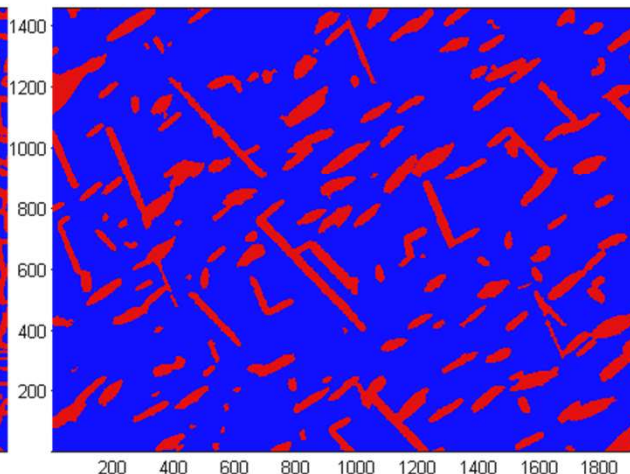
HT2-28 Micrographs



HT3-32 micrographs



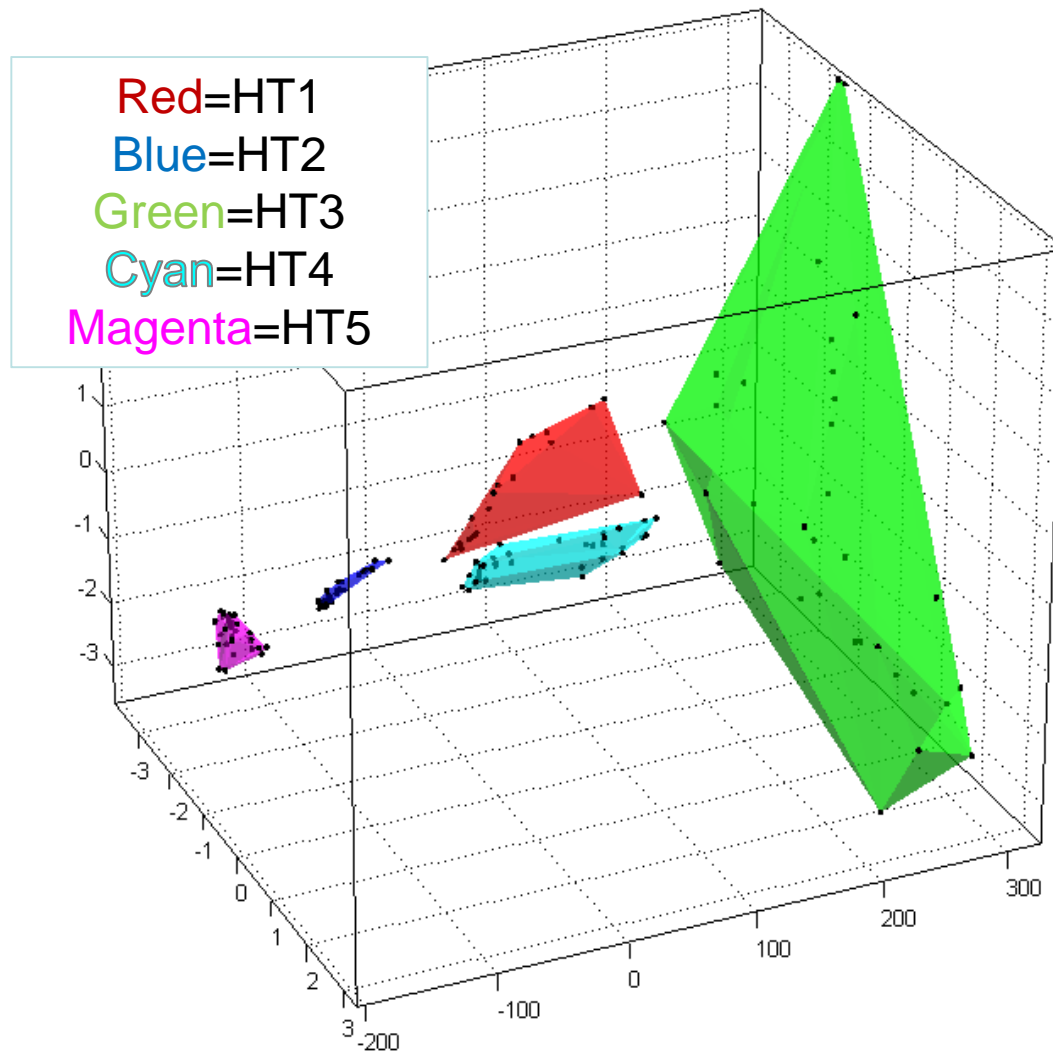
HT4-36 micrographs



HT5-32 micrographs

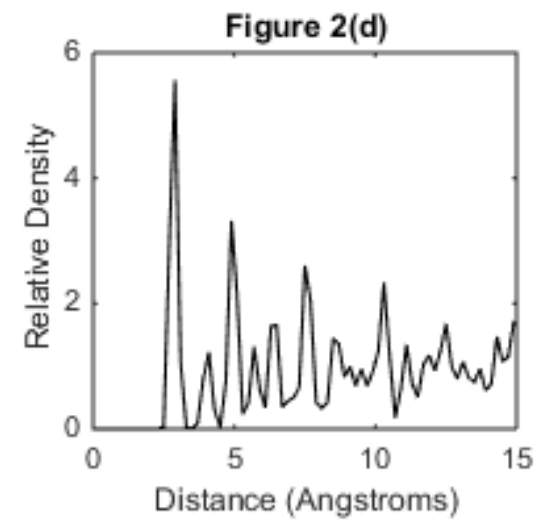
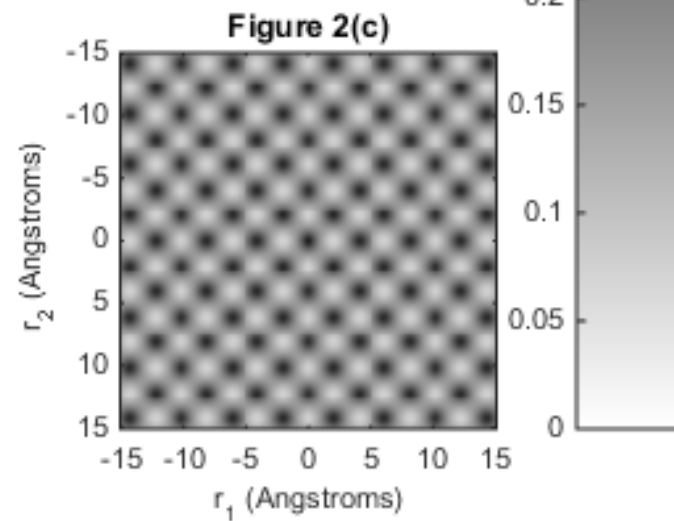
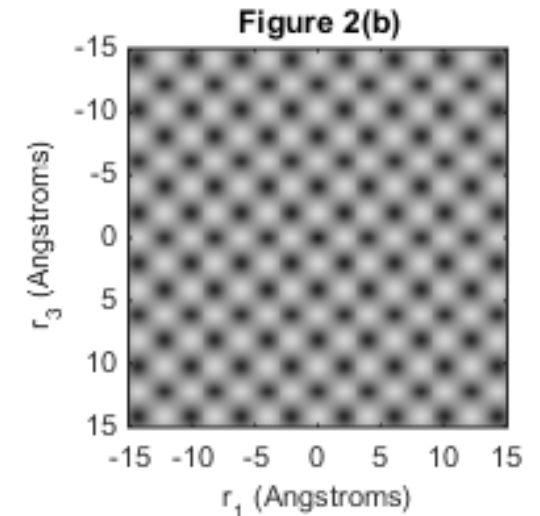
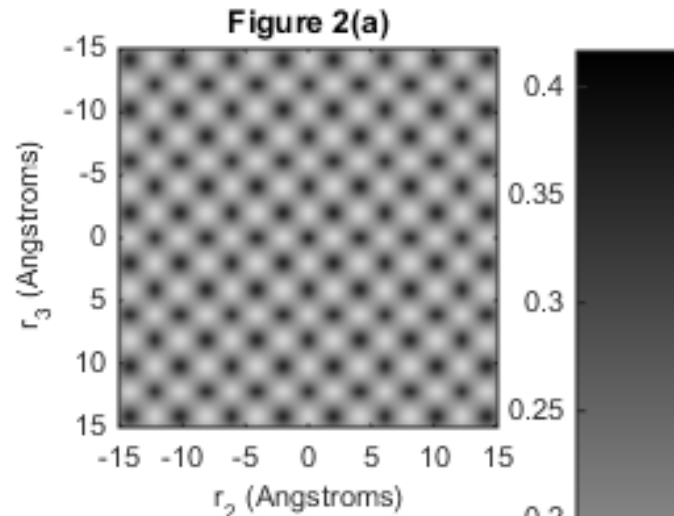
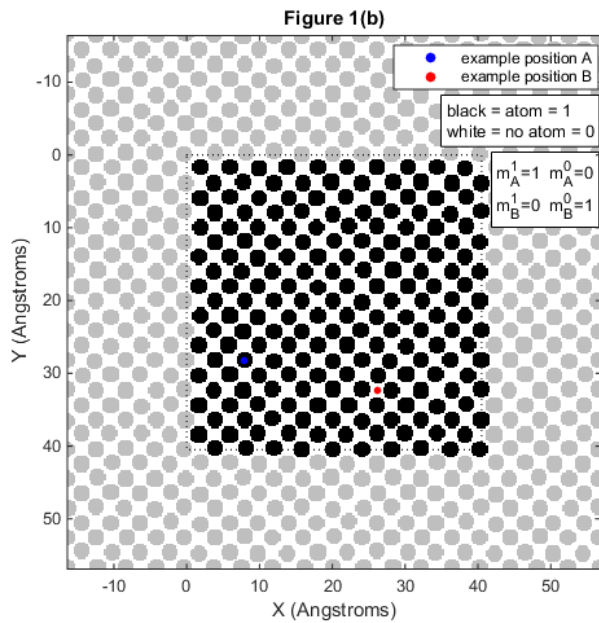
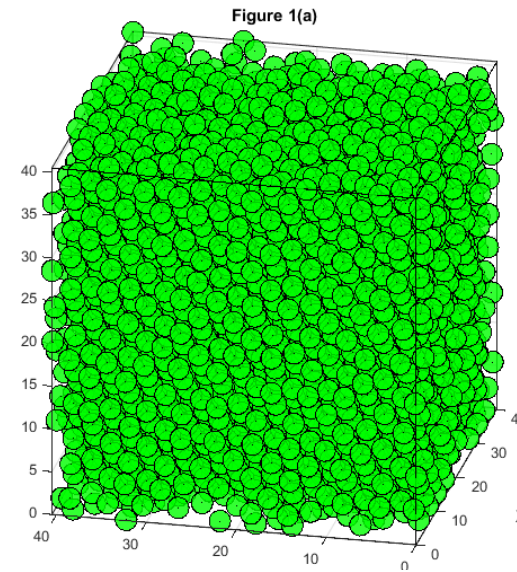
Data from
H. Fraser's
group at
OSU

Microstructure Databases



- Each point corresponds to a microstructure dataset.
- Datasets from the same heat treatment are shown as a hull.
- Volume of the hull can be related directly to the variance in structure between datasets.
- Euclidean distance is a metric of similarity or difference between samples
- Quality control applications

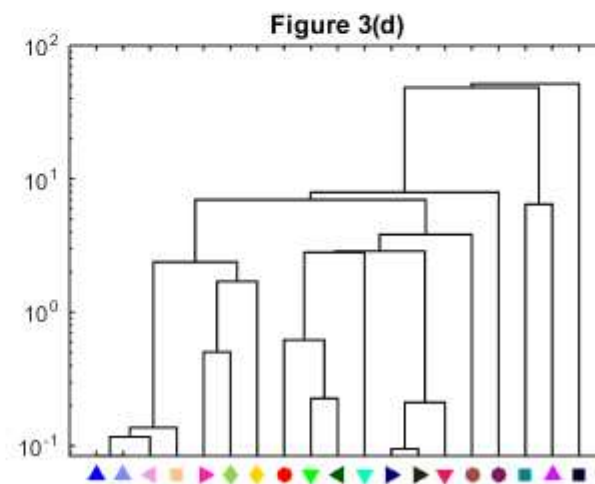
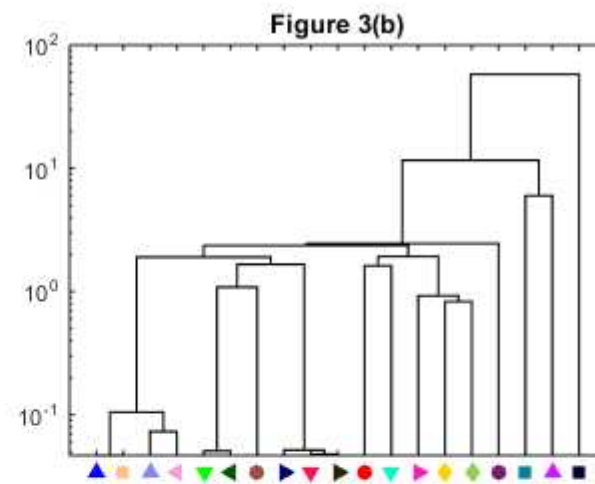
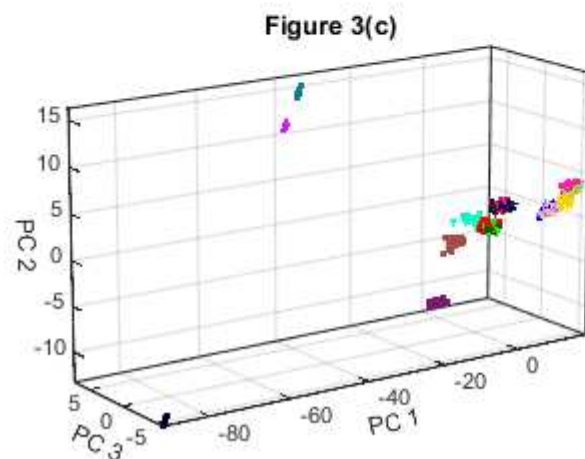
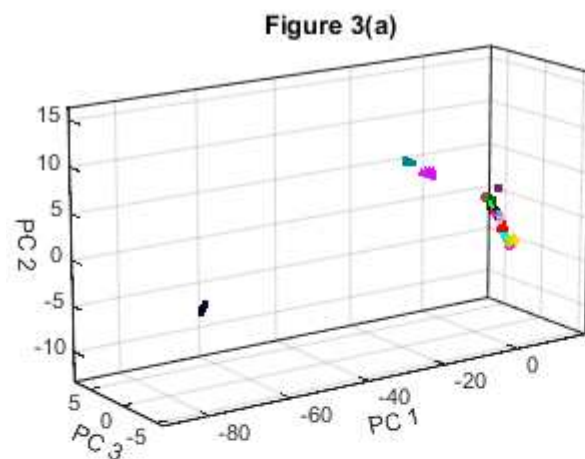
Microstructure Databases



Unsupervised Classification of Different Potentials Based on Atomic Structure

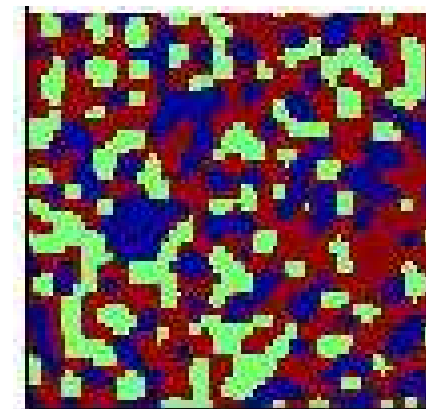
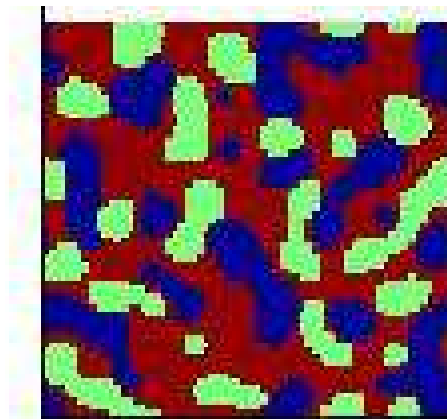
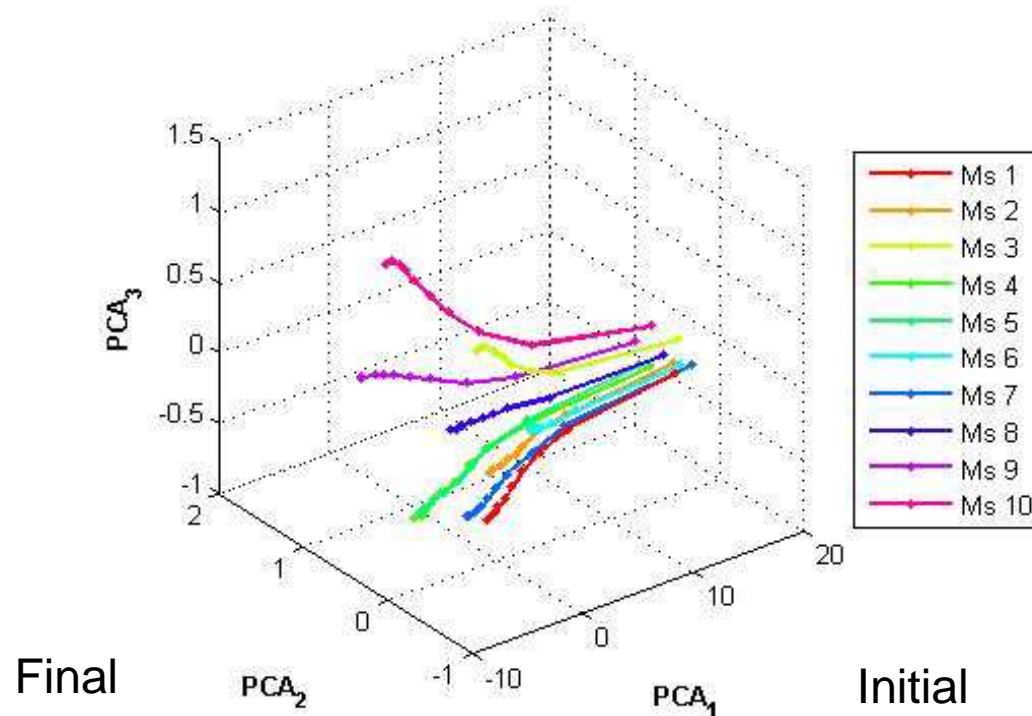
- ▲ Al-Co_PurjaPunGP_2013(Al)^[1]
- Al-Fe_MendelevMI_2005(Al)^[2]
- ▼ Al-Mg_MendelevMI_2009(Al)^[3]
- Al-Mn-Pd_SchopfD_2012(Al)^[4]
- ▲ Al-Pb_LandaA_2000(Al)^[5]
- ◆ Al_LiuX-Y_2004(Al)^[6]
- ▲ Al_MendelevMI_2008(Al)^[7]
- ▲ Al_MishinY_1999(Al)^[8]
- Al_SturgeonJB_2000(Al)^[9]
- ▼ Al_WineyJM_2009(Al)^[10]
- ▲ Al_ZhouXW_2004(Al)^[11]
- Al_ZopeRR_2003(Al)^[12]
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- ▲ Ni-Al-Co_PurjaPunGP_2013(Al)^[14]
- ▲ Ni-Al-H_AngeloJE_1995(Al)^[15]
- Ni-Al_MishinY_2002(Al)^[16]
- ▼ Ni-Al_MishinY_2004(Al)^[17]
- ▲ Ni-Al_PurjaPunGP_2009(Al)^[18]
- ▶ Ti-Al_ZopeRR_2003(Al)^[19]

Collaboration
with Becker
and Trautt
(NIST)

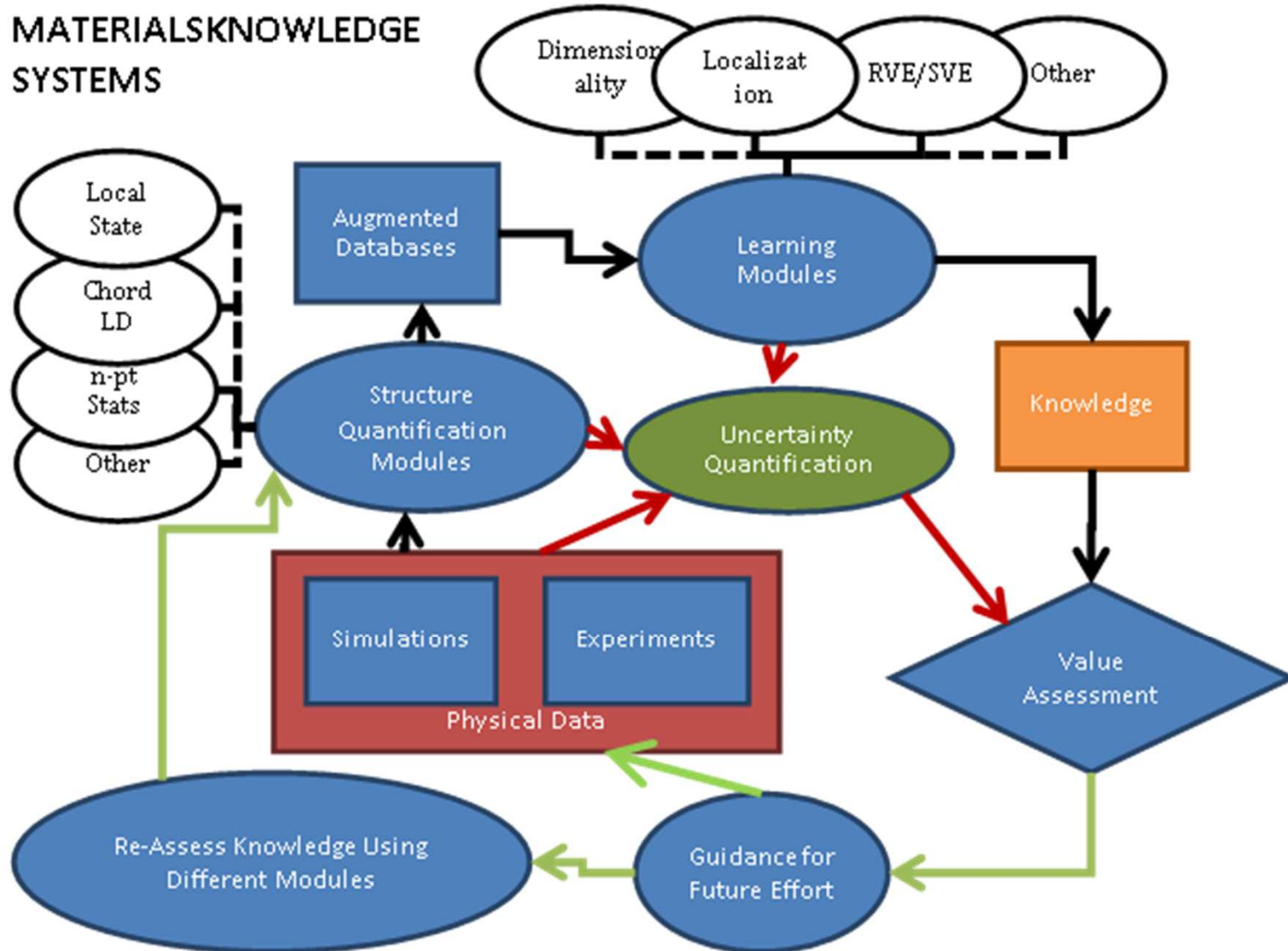


Visualization of 4-D Microstructure Datasets

Datasets from phase-field simulations of microstructure evolution (3-D space + time)



Overall Framework



Code Repositories

- **Spatial Statistics**

<http://tonyfast.com/SpatialStatisticsFFT/>

- **PyMKS**

<http://openmaterials.github.io/pymks/index.html>